



**STATE OF HAWAII**  
**CONTRACT FOR GOODS AND SERVICES**  
 (IN THE FOLLOWING CATEGORIES: EXEMPT; SMALL PURCHASE;  
 SOLE SOURCE; OR EMERGENCY)

This Contract, executed on the respective dates indicated below, is effective as of  
 The STATE's Notice to Proceed, between Department of Health,  
(Insert name of state department, agency, board or commission)  
 State of Hawaii ("STATE"), by its Director of Health,  
(Insert title of person signing for State)  
 (hereafter also referred to as the HEAD OF THE PURCHASING AGENCY or designee ("HOPA")),  
 whose address is 1250 Punchbowl Street, Honolulu, Hawaii 96813  
and University of Hawaii  
 ("CONTRACTOR"), a body politic and the state university  
(Insert corporation, partnership, joint venture, sole proprietorship, or other legal form of the Contractor)  
 under the laws of the State of Hawaii, whose business address and federal ans  
 and state taxpayer identification numbers are as follows: 2530 Dole Street, Sakamaki D200,  
Honolulu, Hawaii, 96822; Federal ID#: 99-6000354

**RECITALS**

A. The STATE is in need of the goods and services, or both, described in this Contract and its attachments. The CONTRACTOR is agreeable to providing the goods and services, or both, as the case may be.

B. This Contract is for (check one box):

☒ (1) A procurement expenditure of public funds for goods or services, or both, that is otherwise exempt from public bidding as set forth in section 103D-102, Hawaii Revised Statutes ("HRS"), and chapter 3-120, Hawaii Administrative Rules ("HAR"); or

☐ (2) A small purchase procurement of goods or services, or both, as set forth in section 103D-305, HRS, and subchapter 8, chapter 3-122, HAR; or

☐ (3) A sole source procurement of goods or services, or both, as set forth in section 103D-306, HRS, and subchapter 9, chapter 3-122, HAR; or

☐ (4) An emergency procurement of goods or services, or both, as set forth in section 103D-307, HRS, and subchapter 10, chapter 3-122, HAR.

C. Money is available to fund this Contract pursuant to:

(1) Item D-1, Act 162, 2009 HSL 504

(Identify state sources)

or (2) Section 319(h) of the Clean Water Act

(Identify federal sources)

or both, in the following amounts: State \$ 0.00  
 Federal \$ 250,000.00

D. Pursuant to Sections 321-7; 342D-4; 342D-59 and 342E-3, HRS, the STATE

(Legal authority to enter into this Contract)

is authorized to enter into this Contract.

E. The agency's Chief Procurement Officer is Aaron S. Fujioka

who ☐ has approved this procurement or ☒ is not required to approve this procurement.

NOW, THEREFORE, in consideration of the promises contained in this Contract, the STATE and the CONTRACTOR agree as follows:

1. Scope of Services. The CONTRACTOR shall, in a proper and satisfactory manner as determined by the STATE, provide all the goods or services, or both, set forth in Attachment-S1, which is made a part of this Contract.

2. Compensation. The CONTRACTOR shall be compensated for goods supplied or services performed, or both, under this Contract in a total amount not to exceed TWO HUNDRED FIFTY THOUSAND AND NO/100 DOLLARS (\$ 250,000.00 ), including approved costs incurred and taxes, according to the Compensation and Payment Schedule set forth in Attachment-S2, which is made a part of this Contract.

3. Time of Performance. The services or goods required of the CONTRACTOR under this Contract shall be performed and completed in accordance with the Time of Performance set forth in Attachment-S3, which is made a part of this Contract.

4. Bonds. The CONTRACTOR ☐ is required to provide or ☒ is not required to provide: ☐ a performance bond, ☐ a payment bond, ☐ a performance and payment bond in the amount of None DOLLARS (\$ 0.00 ).

5. Standards of Conduct Declaration. The Standards of Conduct Declaration of the CONTRACTOR is attached to and made a part of this Contract.

6. Other Terms and Conditions. The General Conditions and any Special Conditions are attached to and made a part of this Contract. In the event of a conflict between the General Conditions and the Special Conditions, the Special Conditions shall control.

7. Liquidated Damages. Liquidated damages shall be assessed in the amount of Not Applicable DOLLARS (\$ 0.00 ) per day, in accordance with the terms of paragraph 9 of the General Conditions.

8. Notices. Any written notice required to be given by any party to this Contract shall be (a) delivered personally, or (b) sent by United States first class mail, postage prepaid. Notice to the STATE shall be sent to the HOPA'S address indicated in the Contract. Notice to the CONTRACTOR shall be sent to the CONTRACTOR'S address indicated in the Contract. A notice shall be deemed to have been received three (3) days after mailing or at the time of actual receipt, whichever is earlier. The CONTRACTOR is responsible for notifying the STATE in writing of any change of address.

IN VIEW OF THE ABOVE, the parties execute this Contract by their signatures, on the dates below, to be effective as of the date first above written.

**STATE**

\_\_\_\_\_  
(Signature)

Chiyome Leinaala Fukino, M.D.

\_\_\_\_\_  
(Print Name)

Director of Health

\_\_\_\_\_  
(Print Title)

\_\_\_\_\_  
(Date)

**CONTRACTOR**

**CORPORATE SEAL**  
**(If available)**

University of Hawaii

\_\_\_\_\_  
(Name of Contractor)

\_\_\_\_\_  
(Signature)

\_\_\_\_\_  
(Print Name)

\_\_\_\_\_  
(Print Title)

\_\_\_\_\_  
(Date)

**APPROVED AS TO FORM:**

\_\_\_\_\_  
Deputy Attorney General

\* Evidence of authority of the CONTRACTOR'S representative to sign this Contract for the CONTRACTOR must be attached.



STATE OF HAWAII

CONTRACTOR'S ACKNOWLEDGMENT

STATE OF \_\_\_\_\_ )

) SS.

COUNTY OF \_\_\_\_\_ )

On this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_ before me appeared \_\_\_\_\_ and \_\_\_\_\_, to me known, to be the person(s) described in and, who, being by me duly sworn, did say that he/she/they is/are \_\_\_\_\_ and \_\_\_\_\_ of \_\_\_\_\_ University of Hawaii \_\_\_\_\_, the CONTRACTOR named in the foregoing instrument, and that he/she/they is/are authorized to sign said instrument on behalf of the CONTRACTOR, and acknowledges that he/she/they executed said instrument as the free act and deed of the CONTRACTOR.

(Notary Stamp or Seal)

(Signature)

(Print Name)

Notary Public, State of \_\_\_\_\_

My commission expires: \_\_\_\_\_

Doc. Date: \_\_\_\_\_ # Pages: \_\_\_\_\_

Notary Name: \_\_\_\_\_ Circuit \_\_\_\_\_

Doc. Description: \_\_\_\_\_

(Notary Stamp or Seal)

Notary Signature \_\_\_\_\_

Date \_\_\_\_\_

NOTARY CERTIFICATION



## STATE OF HAWAII

### CONTRACTOR'S STANDARDS OF CONDUCT DECLARATION

For the purposes of this declaration:

"Agency" means and includes the State, the legislature and its committees, all executive departments, boards, commissions, committees, bureaus, offices; and all independent commissions and other establishments of the state government but excluding the courts.

"Controlling interest" means an interest in a business or other undertaking which is sufficient in fact to control, whether the interest is greater or less than fifty per cent (50%).

"Employee" means any nominated, appointed, or elected officer or employee of the State, including members of boards, commissions, and committees, and employees under contract to the State or of the constitutional convention, but excluding legislators, delegates to the constitutional convention, justices, and judges. (Section 84-3, HRS).

On behalf of University of Hawaii, CONTRACTOR, the undersigned does declare as follows:

1. CONTRACTOR ☐ is ☐ is not a legislator or an employee or a business in which a legislator or an employee has a controlling interest. (Section 84-15(a), HRS).
2. CONTRACTOR has not been represented or assisted personally in the matter by an individual who has been an employee of the agency awarding this Contract within the preceding two years and who participated while so employed in the matter with which the Contract is directly concerned. (Section 84-15(b), HRS).
3. CONTRACTOR has not been assisted or represented by a legislator or employee for a fee or other compensation to obtain this Contract and will not be assisted or represented by a legislator or employee for a fee or other compensation in the performance of this Contract, if the legislator or employee had been involved in the development or award of the Contract. (Section 84-14 (d), HRS).
4. CONTRACTOR has not been represented on matters related to this Contract, for a fee or other consideration by an individual who, within the past twelve (12) months, has been an agency employee, or in the case of the legislature, a legislator, and participated while an employee or legislator on matters related to this Contract. (Sections 84-18(b) and (c), HRS).

CONTRACTOR understands that the Contract to which this document is attached is voidable on behalf of the STATE if this Contract was entered into in violation of any provision of chapter 84, Hawaii Revised Statutes, commonly referred to as the Code of Ethics, including the provisions which are the source of the declarations above. Additionally, any fee, compensation, gift, or profit received by any person as a result of a violation of the Code of Ethics may be recovered by the STATE.

\* Reminder to Agency: If the "is" block is checked and if the Contract involves goods or services of a value in excess of \$10,000, the Contract must be awarded by competitive sealed bidding under section 103D-302, HRS, or a competitive sealed proposal under section 103D-303, HRS. Otherwise, the Agency may not award the Contract unless it posts a notice of its intent to award it and files a copy of the notice with the State Ethics Commission. (Section 84-15(a), HRS).

#### CONTRACTOR

By \_\_\_\_\_  
(Signature)

Print Name \_\_\_\_\_

Print Title \_\_\_\_\_

Name of Contractor University of Hawaii

Date \_\_\_\_\_

## SCOPE OF SERVICES

The CONTRACTOR shall support the STATE's Polluted Runoff Control Program's ("PRCP") mission to protect and improve the quality of water resources for enjoyment of and use by the people of Hawaii through preventing and reducing non-point source pollution, and balancing health, environmental, economic, and social concerns.

To accomplish this mission, the CONTRACTOR shall develop a Watershed Monitoring Plan ("WMP") designed to assist with the evaluation and implementation of long-term efforts to protect and restore the STATE's watersheds. In addition, the CONTRACTOR shall install ungulate exclusion fences in portions of the Hana Forest Reserve and the Honolua Watershed, and implement relevant aspects of the WMP in the East Maui and West Maui Watersheds to evaluate the effectiveness of installing those ungulate exclusion fences.

The CONTRACTOR shall be required to submit to the PRCP, for information and review, items printed in *italics*, no later than the date indicated in Exhibit "A," Project Timeline, attached hereto and made a part hereof.

The CONTRACTOR's responsibilities shall include, but not be limited to, providing all labor, materials, equipment, supplies, and supervision to accomplish the activities described hereinafter:

### 1. Personnel

The CONTRACTOR shall *retain the specified project manager and key personnel* to assist in all Contract activities. The CONTRACTOR shall submit to the PRCP with the first Quarterly Status Report ("QSR"), the *names and credentials of personnel hired*. Any personnel changes shall require written notification to the PRCP within two (2) weeks of the change.

### 2. WMP

A. The CONTRACTOR shall develop a WMP that identifies existing water quality monitoring methods available for use by Watershed Partnerships in determining the effect of implementing watershed management projects on water quality and aquatic ecosystems. These methods shall consider, but not be limited to, implementation of management practices such as fencing, ungulate control, invasive weed management, and restoration of native vegetation.

- i. In developing the WMP, the CONTRACTOR shall consult and work with the appropriate water quality experts and entities in the STATE, including but not limited to, local Watershed Partnerships,

the STATE Department of Land and Natural Resources, the CONTRACTOR, the STATE, the U.S. Forest Service, the U.S. Fish and Wildlife Service, the National Park Service, the U.S. Environmental Protection Agency, and the U.S. Geological Survey.

- ii. Monitoring methods shall focus primarily on sediment and indicators related to the effects of sediment, but shall also consider other water quality parameters (i.e., nutrients and pathogens) and monitoring approaches (i.e., biological, habitat assessment, etc.) to assist in determining the effects of Watershed Partnership implementation activities on elements of the physical, chemical, and biological integrity of State waters.
  - iii. Description of the monitoring methods shall consist of parameters including, but not limited to, cost, implementation steps, required equipment and supplies, durability, appropriate quality assurance and quality control aspects, and other pertinent information as determined by the CONTRACTOR that will allow for a viability assessment of the monitoring methods for particular watershed protection projects.
- B. The CONTRACTOR shall submit an electronic copy of the *draft WMP* to the PRCP, East Maui Watershed Partnership (“EMWP”), West Maui Mountains Watershed Partnership (“WMMWP”), and other agencies and entities that can provide technical and relevant comments and feedback to the draft WMP. The PRCP shall have one (1) month to review the draft WMP. Comments made by the PRCP shall be addressed and incorporated into the final WMP.
- C. The CONTRACTOR shall submit an electronic copy of the *final WMP* to the PRCP, EMWP, and WMMWP. Other agencies involved in the process shall also receive copies of the final WMP.

### 3. East Maui Monitoring Implementation

- A. The CONTRACTOR shall use the final WMP to develop a monitoring plan that addresses scope, frequency, and duration appropriate for determining the water quality related effects of management practice implementation in the Hana Forest Reserve, located in East Maui.
- B. The CONTRACTOR shall submit an electronic copy of the *draft report of monitoring plan tailored for implementation in the Hana Forest Reserve* to the PRCP and the EMWP. The PRCP shall have one (1) month to review the draft report. Comments made by the PRCP shall be addressed and incorporated into the final report of monitoring methods tailored for implementation in the Hana Forest Reserve.

- C. The CONTRACTOR shall submit an electronic copy of the *final report of monitoring plan tailored for implementation in the Hana Forest Reserve* ("HFR implementation report") to the PRCP, EMWP, and other interested agencies.
- D. The CONTRACTOR shall train any Watershed Partnership(s) located in East Maui associated with management practice implementation to conduct water quality related effectiveness monitoring. The HFR implementation report shall be used as part of the training curriculum. In conjunction with training, monitoring implementation based on the HFR implementation report shall begin in the Hana Forest Reserve.

4. East Maui Ungulate Fencing Project

- A. After a minimum of three (3) months of pre-implementation baseline monitoring in the Hana Forest Reserve, the CONTRACTOR shall install approximately one thousand (1,000) meters of ungulate-proof fencing, primarily to protect portions of the water resources from feral pigs, in the upper portion of the Hana Forest Reserve (see Exhibit "B," East Maui Fencing Map, attached hereto and made a part hereof).
  - i. The fence line shall be approximately located between three thousand (3,000) and four thousand, five hundred (4,500) feet elevation and will traverse through native-dominated Ohia Montane Wet Mixed communities, gullies, and several large drainages.
  - ii. The fence shall meet standard guidelines for ungulate-control fencing as defined by entities such as the National Park Service, the STATE Natural Area Reserve System, The Nature Conservancy, and other entities involved in native habitat and watershed protection activities. Fence posts shall not be more than ten (10) feet apart. The bottom strand of the fencing shall not be more than one (1) inch above the ground surface. Where appropriate, CONTRACTOR shall install hog wire to prevent ungulates from digging under the fence.
  - iii. The CONTRACTOR shall provide all necessary fence materials, all equipment related to completing the fence construction and installation, all housing and/or camping supplies, and logistics to and from the installation location.
  - iv. The CONTRACTOR shall determine the best route for fencing installation.

- v. The CONTRACTOR shall be responsible for remedying any repairs or incidental damage of the new fence during the installation period.
  - vi. The CONTRACTOR shall install up to a five (5) foot corridor on either side of the fence line (brushing) to facilitate fence installation, maintenance, and post-installation inspection. The CONTRACTOR shall avoid cutting or damaging demarcated rare species.
  - vii. The CONTRACTOR shall minimize disturbance to the forest by utilizing existing cleared areas for all helicopter landing zones.
  - viii. The CONTRACTOR shall remove all trash, and discarded and damaged materials. Unused extra materials may be stored on-site in a protected area for future fencing repairs.
  - ix. The CONTRACTOR shall obtain all necessary permits and access rights prior to beginning installation.
- B. The CONTRACTOR shall implement monitoring methods as prescribed by the HFR implementation report during fencing installation, and continue for a minimum of six (6) months after this project's ungulate fencing installation has been completed to show pre- and post-fence installation effects on the watershed. Results of the monitoring implementation shall be included in the draft Final Report, and raw data gained from monitoring implementation shall be submitted in electronic form on a Compact Disc ("CD") to the PRCP prior to Contract termination.
  - C. The CONTRACTOR shall submit to the PRCP all *mapping information of the fence as it is being installed*, along with *photographs detailing installation progress*, with corresponding QSRs. Mapping information may be in the form of an electronic Geographic Information System ("GIS") shapfile or submitted to the PRCP in hard copy.
  - D. The CONTRACTOR shall submit to the PRCP an *as-built diagram of the completed fencing project with relevant mapping information* with the Final Report.
5. West Maui Monitoring Implementation
- A. The CONTRACTOR shall use the final WMP to develop a monitoring plan that addresses scope, frequency, and duration appropriate for determining the water quality related effects of management practice implementation in the Honolua Watershed, located in West Maui.
  - B. The CONTRACTOR shall submit an electronic copy of the *draft report of monitoring plan tailored for implementation in the Honolua Watershed* to



the PRCP and the WMMWP. The PRCP shall have one (1) month to review the draft report. Comments made by the PRCP shall be addressed and incorporated into the final report of monitoring methods tailored for implementation in the Honolua Watershed.

- C. The CONTRACTOR shall submit an electronic copy of the *final report of monitoring plan tailored for implementation in the Honolua Watershed* ("HW implementation report") to the PRCP, WMMWP, and other interested agencies.
- D. The CONTRACTOR shall train any Watershed Partnership(s) located in West Maui associated with management practice implementation to conduct water quality related effectiveness monitoring. The HW implementation report shall be used as part of the training curriculum. In conjunction with training, monitoring implementation based on the HW implementation report shall begin in the Honolua Watershed.

#### 6. West Maui Ungulate Fencing Project

- A. After a minimum of three (3) months of pre-implementation baseline monitoring in the Honolua Watershed, the CONTRACTOR shall install approximately one point two (1.2) miles of ungulate-proof fencing, primarily to protect portions of the water resources from feral pigs, in the Honolua Watershed (see Exhibit "C," West Maui Fencing Map, attached hereto and made a part hereof):
  - i. The fence line shall be located starting at the Honolua Stream to the South and extend one point two (1.2) miles north towards Honokohau Valley.
  - ii. The fence shall meet standard guidelines for ungulate-control fencing as defined by entities such as the National Park Service, the STATE Natural Area Reserve System, The Nature Conservancy, and other entities involved in native habitat and watershed protection activities. The fence shall be no less than eight (8) feet high, with appropriate hog wire to prevent ungulates from digging under the fence.
  - iii. The CONTRACTOR shall provide all necessary fence materials, all equipment related to completing the fence construction and installation, all housing and/or camping supplies, and logistics to and from the installation location.
  - iv. The CONTRACTOR shall determine the best route for fencing installation.

- v. The CONTRACTOR shall be responsible for remedying any repairs or incidental damage of the new fence during the installation period.
  - vi. The CONTRACTOR shall install up to a five (5) foot corridor on either side of the fence line (brushing) to facilitate fence installation, maintenance, and post-installation inspection. The CONTRACTOR shall avoid cutting or damaging demarcated rare species.
  - vii. The CONTRACTOR shall minimize disturbance to the forest by utilizing existing cleared areas for all helicopter landing zones.
  - viii. The CONTRACTOR shall remove all trash, and discarded and damaged materials. Unused extra materials may be stored on-site in a protected area for future fencing repairs.
  - ix. The CONTRACTOR shall obtain all necessary permits and access rights prior to beginning installation.
- B. The CONTRACTOR shall implement ongoing monitoring methods as prescribed by the HW implementation report throughout fencing installation, and continue for a minimum of six (6) months after this project's ungulate fencing installation has been completed to show pre- and post-fence installation effects on the watershed. Results of the monitoring implementation shall be included in the draft Final Report, and raw data gained from monitoring implementation shall be submitted in electronic form to the PRCP prior to Contract termination.
- C. The CONTRACTOR shall submit to the PRCP all *mapping information of the fence as it is being installed*, along with *photographs detailing installation progress*, with corresponding QSRs. Mapping information may be in the form of an electronic GIS shapefile or submitted to the PRCP in hard copy.
- D. The CONTRACTOR shall submit to the PRCP an *as-built diagram of the completed fencing project with relevant mapping information* with the Final Report.

## 7. Site Visits

Subject to the availability of funds, the PRCP shall visit the project sites at a minimum of one (1) time per year. The CONTRACTOR shall make every effort to accommodate the PRCP, by providing access to, transportation to, and lodging (if applicable) at the job sites from the island of Maui. The PRCP shall be responsible for travel to Maui.

## 8. Reports

The CONTRACTOR shall prepare and submit to the PRCP the following written reports:

- A. QSRs to the PRCP on or before January 15, April 15, July 15, and October 15 of each respective calendar year following issuance of the PRCP's Notice to Proceed ("NTP"). These reports shall be submitted in duplicate and in the format provided by the PRCP, attached hereto as Exhibit "D," and made a part of this Contract. The reports shall include the status of all project activities required under this Contract including progress of tasks started and/or completed as defined in this Scope of Services during the current reporting period, a narrative progress report, a description of any major issues and/or problems encountered, a description of any significant findings, a description of tasks expected to be completed in the next reporting period, and relevant supporting documentation.
- B. A *draft Final Report* describing the services performed and the benefits, outcomes, and lessons learned performed under this Contract and a summary of highlights, goals, and accomplishments of the project. In addition, the draft Final Report shall include information as requested in Sections 4.B., 4.D., 6.B., and 6.D., outlined above. One (1) electronic copy of the draft Final Report shall be submitted to the PRCP. The report shall be submitted in the format provided by the PRCP, attached hereto as Exhibit "E," and made a part of this Contract. The PRCP shall have one (1) month to review and comment on the draft Final Report. Comments made by the PRCP shall be incorporated into the report before finalizing the document.
- C. The CONTRACTOR shall submit one (1) original hard copy and one (1) electronic copy of the completed *Final Report*.
- D. One (1) original hard copy and one (1) hard copy of *quarterly grant fund expense reports* shall be submitted to the PRCP in the format provided by the PRCP, attached hereto as Exhibit "F," and made a part of this Contract. Failure by the CONTRACTOR to submit any and all reports, including supporting documentation (i.e., copies of receipts, invoices, etc.) to the PRCP in the approved format on or before its respective due dates shall delay payments to the CONTRACTOR by the PRCP.
- E. The CONTRACTOR shall provide reports in typed or printed forms, as well as in electronic format specified and approved by the PRCP for posting on an Internet site approved by the PRCP.

9. PRCP's Contact Person

The CONTRACTOR shall submit all reports to the following person authorized to receive reports for the PRCP:

Mr. Alec Y. Wong, Professional Engineer, Chief  
Department of Health, Clean Water Branch  
919 Ala Moana Boulevard, Room 301  
Honolulu, Hawaii 96814-4920  
Phone: (808) 586-4309 Fax: (808) 586-4352

10. CONTRACTOR's Contact Person

The CONTRACTOR's primary contact person is:

Yaa-Yin Fong, Director of Research Services  
University of Hawaii, Office of Research Services  
2530 Dole Street, Sakamaki D200  
Honolulu, Hawaii 96822  
Phone (808) 956-7800 Fax: (808) 956-9081

11. Project Timeline

The CONTRACTOR shall accomplish the above-listed activities within the timeline attached hereto as Exhibit "A," Project Timeline, and made a part of this Contract.

The CONTRACTOR and the PRCP jointly agree that if any of the above-listed activities are completed sooner than as outlined in the attached Project Timeline, the CONTRACTOR shall immediately begin work on activities and/or tasks following the completed activity.

12. Disclosure

The CONTRACTOR shall include the following language in any/all developed or printed informational materials, press release, signage, publicity, etc.:

"This Project has been jointly funded by the U.S. Environmental Protection Agency ("Agency") or ("EPA") under Section 319(h) of the Clean Water Act, and the Hawaii State Department of Health, Clean Water Branch. Although the information in this document has been funded wholly or in part by a Federal Grant to the Hawaii State Department of Health, it may not necessarily reflect the views of the Agency and the Hawaii State Department of Health and no official endorsement should be inferred."

## COMPENSATION AND PAYMENT SCHEDULE

In full consideration for the services to be performed by the CONTRACTOR under this Contract, the STATE agrees, subject to receipt of federal funds under the Federal Grants and subject to allotments to be made by the Director of Finance, State of Hawaii, pursuant to Chapter 37, Hawaii Revised Statutes, to pay to the CONTRACTOR a total sum of money not to exceed TWO HUNDRED FIFTY THOUSAND AND NO/100 DOLLARS (\$250,000.00) consisting of federal funds to be received under Federal Grants, which shall be paid in accordance with and subject to the following:

1. Payment shall be made in quarterly installments, upon the submission by the CONTRACTOR of invoices in duplicate (original with original signature and one (1) copy) for the services provided in accordance with Attachment-S1, "Scope of Services," in accordance to form as outlined in Exhibit "F" and in accordance with the costs identified in the Budget, attached hereto as Exhibit "G," and made a part hereof. The PRCP shall withhold TWELVE THOUSAND, FIVE HUNDRED AND NO/100 DOLLARS (\$12,500.00) until final settlement of this Contract and the PRCP deems that the CONTRACTOR has satisfactorily completed the services provided in accordance with Attachment-S1, "Scope of Services."

Payments shall be withheld by the PRCP to the CONTRACTOR unless Quarterly Status Reports ("QSRs") are current. Payments to the CONTRACTOR by the PRCP shall be delayed if documents are not submitted in the required format as provided by the PRCP under this Contract (i.e., QSRs, monthly expenditure reports, in-kind contribution reports, etc.). Payments shall be withheld in whole or in part if the work under this Contract is in fact incomplete or unsatisfactory as solely determined by the PRCP.

The CONTRACTOR shall be eligible to receive an advance installment not to exceed ten percent (10%) of the project cost, or TWENTY FIVE THOUSAND AND NO/100 DOLLARS (\$25,000.00). This advance shall be payable upon submittal of an invoice in duplicate by the CONTRACTOR for the advance payment. The invoice for the advance payment shall identify the project budget line item that it will be deducted from and may be submitted anytime after receipt by the CONTRACTOR of the STATE's Notice to Proceed.

2. An original invoice as well as one (1) copy shall be accompanied by expenditure reports for the amount of billing and certified by the CONTRACTOR to contain expenditures actually incurred for the performance of services provided under this Contract.
3. The expenditure reports shall be reviewed by the PRCP and shall be subject to the PRCP's preliminary determination of appropriateness and allowability of the reported expenditures. The PRCP's preliminary determination of appropriate-

ness and allowability of the reported expenditures shall be subject to later verification and subsequent audit.

4. If an amount of reported expenditures is preliminarily determined by the PRCP to be inappropriate and unallowable, the PRCP may deduct an equivalent amount from the next payable installment and may withhold payment of the amount of monies equivalent to the questioned expenditures until later resolution of the discrepancy by audit or other means. If, after payment of the last installment prior to the final payment, investigation and examination reveal additional expenditures that are determined by the PRCP to be inappropriate and unallowable, the PRCP may require that an equivalent amount of monies be refunded by the CONTRACTOR notwithstanding the PRCP's preliminary determination of appropriateness and allowability. An amount of monies to be refunded by the CONTRACTOR may be offset against the amount of monies withheld by the PRCP in determining the amount of the final payment to be made to the CONTRACTOR in final settlement of this Contract. Final settlement of the Contract shall include submission and acceptance of all reports and other materials to be submitted by the CONTRACTOR to the PRCP, resolution of all discrepancies in expenditures or performances of services, and completion of all other outstanding matters under this Contract.
5. The Final Invoice shall be submitted within forty-five (45) days after the termination date of the Contract. No payment shall be made if invoices are not received by the PRCP within forty-five (45) days after the termination date of the Contract.

## TIME OF PERFORMANCE

After execution of this Contract, the STATE shall issue a Notice to Proceed to the CONTRACTOR. The CONTRACTOR shall provide the services required under this Contract from the commencement date specified on the STATE's Notice to Proceed to and including a period of forty (40) months, (subject to the availability of federal funds and an extension of the Federal Grant by EPA), unless this Contract is extended or sooner terminated as hereinafter provided.

The STATE desires to commence this Contract on February 1, 2010. However, due to the time required for evaluation, award, and execution of this Contract, the exact commencement date is indeterminate at this time. Upon execution of this Contract, the STATE shall issue a Notice to Proceed to the CONTRACTOR.



STATE OF HAWAII

**CERTIFICATE OF EXEMPTION  
FROM CIVIL SERVICE**

**1. By Heads of Departments Delegated by the Director of the Department of Human Resources Development (“DHRD”).\***

Pursuant to a delegation of the authority by the Director of DHRD, I certify that the services to be provided under this Contract, and the person(s) providing the services under this Contract are exempt from the civil service, pursuant to § 76-16, Hawaii Revised Statutes (HRS).

(Signature)

Chiyome Leinaala Fukino, M.D.

(Print Name)

Director of Health

(Print Title)

(Date)

\* This part of the form may be used by all department heads and the heads of attached agencies to whom the Director of DHRD expressly has delegated authority to certify § 76-16, HRS, civil service exemptions. The specific paragraph(s) of § 76-16, HRS, upon which an exemption is based should be noted in the contract file. If an exemption is based on § 76-16(b)(15), the contract must meet the following conditions:

- (1) It involves the delivery of completed work or product by or during a specific time;
- (2) There is no employee-employer relationship; and
- (3) The authorized funding for the service is from other than the "A" or personal services cost element.

**NOTE:** Not all attached agencies have received a delegation under § 76-16(b)(15). If in doubt, attached agencies should check with the Director of DHRD prior to certifying an exemption under § 76-16(b)(15). Authority to certify exemptions under §§ 76-16(b)(2), and 76-16(b)(12), HRS, has not been delegated; only the Director of DHRD may certify §§ 76-16(b)(2), and 76-16(b)(12) exemptions.

**2. By the Director of DHRD, State of Hawaii.**

I certify that the services to be provided under this Contract, and the person(s) providing the services under this Contract are exempt from the civil service, pursuant to §76-16, HRS.

(Signature)

(Date)

(Print Name)

(Print Title, if designee of the Director of DHRD)



## SPECIAL CONDITIONS

1. Deletion of Portions of the Contract. For the purposes of this Contract, paragraph 5 on page 2 of the Contract, "Standards of Conduct Declaration," together with the attached Standards of Conduct Declaration form are hereby deleted and the parties agree that paragraph 5 of the Contract and its attached form are not operative.
2. Deletion of Portions of the General Conditions. For the purposes of this Contract, the following General Conditions are hereby deleted from the General Conditions and have no operative effect between the parties:
  - A. Subparagraphs 2.b., 2.e., 2.f., 2.g., 2.h., and 2.i.
  - B. Paragraph 7.
  - C. Paragraph 8.
  - D. Paragraph 9.
  - E. Paragraph 10.
  - F. Subparagraph 17.d.
  - G. Subparagraph 19.h.
3. Modification of General Conditions. For the purposes of this Contract, paragraphs 26 and 33 in the General Conditions are hereby modified to read as follows:
  - A. "26. Ownership Rights and Copyright. If the CONTRACTOR, or an employee or employees of the CONTRACTOR, obtains a copyright to any material, whether finished or unfinished, that is developed, prepared, assembled, or created pursuant to this Contract, the CONTRACTOR or its employees, as the case may be, shall own the copyright. The STATE may use the materials developed, prepared, assembled, or created pursuant to this Contract for any and all purposes, without restriction or charge. If neither the CONTRACTOR, nor any employee of the CONTRACTOR, before or by the date one year following the completion of the Contract, obtains a copyright to such material, the STATE may consider such material to be "works for hire," and in such circumstance, shall own the material and may copyright it. If the STATE owns the material or the copyright or both, the CONTRACTOR may use the material

for any and all purposes without restriction or charge. The parties agree that upon the completion of this Contract they will execute a document that recites the day they agree to as the completion date and that date shall be the date of completion for the purposes of this paragraph.”

- B. “33. Patented Articles. If the STATE due to no fault on its part, because of an error, oversight, or omission by the CONTRACTOR is charged with or has any action or claim brought against it for an infringement or other unauthorized or improper use of any patented article, patented process, or patented appliance received, or used by the STATE under or as a result of this Contract, the CONTRACTOR shall be solely responsible for correcting or curing to the satisfaction of the STATE at no cost to the STATE any such infringement or improper or unauthorized use of which the STATE has been accused or has had a claim made or an action brought against it. Such corrections or cures may include, but are not limited to: (a) furnishing at no cost to the STATE a substitute article, process, or appliance acceptable to the STATE, (b) payment of royalties or other payments to the patent holder, (c) obtaining proper authorizations or releases from the patent holder, and (d) furnishing security to or making arrangements with the patent holder as may be necessary to correct or cure any such infringement or improper or unauthorized use.”
4. If this Contract is terminated with cause or without cause or at the scheduled expiration of the time of performance specified in this Contract, all equipment and unused supplies and materials leased or purchased with funds paid to the CONTRACTOR under this Contract shall become the property of the STATE as it so specifies and shall be disposed of as directed by the STATE, except, if applicable, as otherwise may be provided under the Federal Grant.
5. Option to Extend Contract.
- A. Unless terminated, this Contract may be extended by the STATE for specified periods of time not to exceed two (2) years or for not more than two (2) additional twelve (12) month periods, upon mutual agreement and the execution of a supplemental agreement. The Contract price may be adjusted at the beginning of each extension period and shall be subject to allotment and the availability of funds.
- B. The STATE may terminate the extended agreement at any time in accordance with General Conditions no. 14.
6. Modifications. Any modifications, changes, or revisions to the Contract shall be mutually agreed upon by the parties and require the execution of a modification

order to the Contract. Unless otherwise agreed upon between the parties, no modifications, changes or revisions shall be binding on the parties prior to the execution of a modification order.

7. Federal Citation. Pursuant to Section 319(h) of the Clean Water Act and the implementing Federal regulations 40 C.F.R. part 31 and 35 subpart A, the United States Environmental Protection Agency has granted federal funds to the STATE under a Nonpoint Source Management Program Grant, Assistance ID No. C9-96978709-0, dated September 15, 2009, for the budget period October 1, 2009 through September 30, 2014, Catalog of Federal Domestic Assistance No. 66.460, which notice of award, grant application, and other applicable documents and conditions are hereinafter referred to as the "Federal Grant."
8. Certification Regarding Environmental Tobacco Smoke. The CONTRACTOR shall comply with the requirement of the Pro-Children Act of 1994 and by signing the "Certification Regarding Environmental Tobacco Smoke," attached hereto as Exhibit "H" and made a part of this Agreement, acknowledges that it is a subgrantee of federal funds to be received under the Federal Grant.
9. The CONTRACTOR shall comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§ 1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §§ 794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§ 6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to non-discrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to non-discrimination on the basis of alcohol abuse or alcoholism; (g) §§ 523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§ 290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§ 3601 et seq.), as amended, relating to non-discrimination in the sale, rental or financing of housing; (i) any other non-discrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other non-discrimination statute(s) which may apply to the application.

## GENERAL CONDITIONS

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## GENERAL CONDITIONS

1. Coordination of Services by the STATE. The head of the purchasing agency ("HOPA") (which term includes the designee of the HOPA) shall coordinate the services to be provided by the CONTRACTOR in order to complete the performance required in the Contract. The CONTRACTOR shall maintain communications with HOPA at all stages of the CONTRACTOR'S work, and submit to HOPA for resolution any questions which may arise as to the performance of this Contract. "Purchasing agency" as used in these General Conditions means and includes any governmental body which is authorized under chapter 103D, HRS, or its implementing rules and procedures, or by way of delegation, to enter into contracts for the procurement of goods or services or both.
2. Relationship of Parties: Independent Contractor Status and Responsibilities, Including Tax Responsibilities.
  - a. In the performance of services required under this Contract, the CONTRACTOR is an "independent contractor," with the authority and responsibility to control and direct the performance and details of the work and services required under this Contract; however, the STATE shall have a general right to inspect work in progress to determine whether, in the STATE'S opinion, the services are being performed by the CONTRACTOR in compliance with this Contract. Unless otherwise provided by special condition, it is understood that the STATE does not agree to use the CONTRACTOR exclusively, and that the CONTRACTOR is free to contract to provide services to other individuals or entities while under contract with the STATE.
  - ~~b. The CONTRACTOR and the CONTRACTOR'S employees and agents are not by reason of this Contract, agents or employees of the State for any purpose, and the CONTRACTOR and the CONTRACTOR'S employees and agents shall not be entitled to claim or receive from the State any vacation, sick leave, retirement, workers' compensation, unemployment insurance, or other benefits provided to state employees.~~
  - c. The CONTRACTOR shall be responsible for the accuracy, completeness, and adequacy of the CONTRACTOR'S performance under this Contract. Furthermore, the CONTRACTOR intentionally, voluntarily, and knowingly assumes the sole and entire liability to the CONTRACTOR'S employees and agents, and to any individual not a party to this Contract, for all loss, damage, or injury caused by the CONTRACTOR, or the CONTRACTOR'S employees or agents in the course of their employment.
  - d. The CONTRACTOR shall be responsible for payment of all applicable federal, state, and county taxes and fees which may become due and owing by the CONTRACTOR by reason of this Contract, including but not limited to (i) income taxes, (ii) employment related fees, assessments, and taxes, and (iii) general excise taxes. The CONTRACTOR also is responsible for obtaining all licenses, permits, and certificates that may be required in order to perform this Contract.
  - ~~e. The CONTRACTOR shall obtain a general excise tax license from the Department of Taxation, State of Hawaii, in accordance with section 237-9, HRS, and shall comply with all requirements thereof. The CONTRACTOR shall obtain a tax clearance certificate from the Director of Taxation, State of Hawaii, and the Internal Revenue Service, U.S. Department of the Treasury, showing that all delinquent taxes, if any, levied or accrued under state law and the Internal Revenue Code of 1986, as amended, against the CONTRACTOR have been paid and submit the same to the STATE prior to commencing any performance under this Contract. The CONTRACTOR shall also be solely responsible for meeting all requirements necessary to obtain the tax clearance certificate required for final payment under sections 103-53 and 103D-328, HRS, and paragraph 17 of these General Conditions.~~
  - ~~f. The CONTRACTOR is responsible for securing all employee related insurance coverage for the CONTRACTOR and the CONTRACTOR'S employees and agents that is or may be required by law, and for payment of all premiums, costs, and other liabilities associated with securing the insurance coverage.~~
  - ~~g. The CONTRACTOR shall obtain a certificate of compliance issued by the Department of Labor and Industrial Relations, State of Hawaii, in accordance with section 103D-310, HRS, and section 3-122-112, HAR, that is current within six months of the date of issuance.~~

~~h. The CONTRACTOR shall obtain a certificate of good standing issued by the Department of Commerce and Consumer Affairs, State of Hawaii, in accordance with section 103D-310, HRS, and section 3-122-112, HAR, that is current within six months of the date of issuance.~~

~~i. In lieu of the above certificates from the Department of Taxation, Labor and Industrial Relations, and Commerce and Consumer Affairs, the CONTRACTOR may submit proof of compliance through the State Procurement Office's designated certification process.~~

3. Personnel Requirements.

a. The CONTRACTOR shall secure, at the CONTRACTOR'S own expense, all personnel required to perform this Contract.

b. The CONTRACTOR shall ensure that the CONTRACTOR'S employees or agents are experienced and fully qualified to engage in the activities and perform the services required under this Contract, and that all applicable licensing and operating requirements imposed or required under federal, state, or county law, and all applicable accreditation and other standards of quality generally accepted in the field of the activities of such employees and agents are complied with and satisfied.

4. Nondiscrimination. No person performing work under this Contract, including any subcontractor, employee, or agent of the CONTRACTOR, shall engage in any discrimination that is prohibited by any applicable federal, state, or county law.

5. Conflicts of Interest. The CONTRACTOR represents that neither the CONTRACTOR, nor any employee or agent of the CONTRACTOR, presently has any interest, and promises that no such interest, direct or indirect, shall be acquired, that would or might conflict in any manner or degree with the CONTRACTOR'S performance under this Contract.

6. Subcontracts and Assignments. The CONTRACTOR shall not assign or subcontract any of the CONTRACTOR'S duties, obligations, or interests under this Contract and no such assignment or subcontract shall be effective unless (i) the CONTRACTOR obtains the prior written consent of the STATE, and (ii) the CONTRACTOR'S assignee or subcontractor submits to the STATE a tax clearance certificate from the Director of Taxation, State of Hawaii, and the Internal Revenue Service, U.S. Department of Treasury, showing that all delinquent taxes, if any, levied or accrued under state law and the Internal Revenue Code of 1986, as amended, against the CONTRACTOR'S assignee or subcontractor have been paid. Additionally, no assignment by the CONTRACTOR of the CONTRACTOR'S right to compensation under this Contract shall be effective unless and until the assignment is approved by the Comptroller of the State of Hawaii, as provided in section 40-58, HRS.

a. Recognition of a successor in interest. When in the best interest of the State, a successor in interest may be recognized in an assignment contract in which the STATE, the CONTRACTOR and the assignee or transferee (hereinafter referred to as the "Assignee") agree that:

(1) The Assignee assumes all of the CONTRACTOR'S obligations;

(2) The CONTRACTOR remains liable for all obligations under this Contract but waives all rights under this Contract as against the STATE; and

(3) The CONTRACTOR shall continue to furnish, and the Assignee shall also furnish, all required bonds.

b. Change of name. When the CONTRACTOR asks to change the name in which it holds this Contract with the STATE, the procurement officer of the purchasing agency (hereinafter referred to as the "Agency procurement officer") shall, upon receipt of a document acceptable or satisfactory to the Agency procurement officer indicating such change of name (for example, an amendment to the CONTRACTOR'S articles of incorporation), enter into an amendment to this Contract with the CONTRACTOR to effect such a change of name. The amendment to this Contract changing the

CONTRACTOR'S name shall specifically indicate that no other terms and conditions of this Contract are thereby changed.

- c. Reports. All assignment contracts and amendments to this Contract effecting changes of the CONTRACTOR'S name or novations hereunder shall be reported to the chief procurement officer (CPO) as defined in section 103D-203(a), HRS, within thirty days of the date that the assignment contract or amendment becomes effective.
  - d. Actions affecting more than one purchasing agency. Notwithstanding the provisions of subparagraphs 6a through 6c herein, when the CONTRACTOR holds contracts with more than one purchasing agency of the State, the assignment contracts and the novation and change of name amendments herein authorized shall be processed only through the CPO's office.
- ~~7. Indemnification and Defense. The CONTRACTOR shall defend, indemnify, and hold harmless the State of Hawaii, the contracting agency, and their officers, employees, and agents from and against all liability, loss, damage, cost, and expense, including all attorneys' fees, and all claims, suits, and demands therefore, arising out of or resulting from the acts or omissions of the CONTRACTOR or the CONTRACTOR'S employees, officers, agents, or subcontractors under this Contract. The provisions of this paragraph shall remain in full force and effect notwithstanding the expiration or early termination of this Contract.~~
- ~~8. Cost of Litigation. In case the STATE shall, without any fault on its part, be made a party to any litigation commenced by or against the CONTRACTOR in connection with this Contract, the CONTRACTOR shall pay all costs and expenses incurred by or imposed on the STATE, including attorneys' fees.~~
- ~~9. Liquidated Damages. When the CONTRACTOR is given notice of delay or nonperformance as specified in paragraph 13 (Termination for Default) and fails to cure in the time specified, it is agreed the CONTRACTOR shall pay to the STATE the amount, if any, set forth in this Contract per calendar day from the date set for cure until either (i) the STATE reasonably obtains similar goods or services, or both, if the CONTRACTOR is terminated for default, or (ii) until the CONTRACTOR provides the goods or services, or both, if the CONTRACTOR is not terminated for default. To the extent that the CONTRACTOR'S delay or nonperformance is excused under paragraph 13d (Excuse for Nonperformance or Delay Performance), liquidated damages shall not be assessable against the CONTRACTOR. The CONTRACTOR remains liable for damages caused other than by delay.~~
- ~~10. STATE'S Right of Offset. The STATE may offset against any monies or other obligations the STATE owes to the CONTRACTOR under this Contract, any amounts owed to the State of Hawaii by the CONTRACTOR under this Contract or any other contracts, or pursuant to any law or other obligation owed to the State of Hawaii by the CONTRACTOR, including, without limitation, the payment of any taxes or levies of any kind or nature. The STATE will notify the CONTRACTOR in writing of any offset and the nature of such offset. For purposes of this paragraph, amounts owed to the State of Hawaii shall not include debts or obligations which have been liquidated, agreed to by the CONTRACTOR, and are covered by an installment payment or other settlement plan approved by the State of Hawaii, provided, however, that the CONTRACTOR shall be entitled to such exclusion only to the extent that the CONTRACTOR is current with, and not delinquent on, any payments or obligations owed to the State of Hawaii under such payment or other settlement plan.~~
- 11. Disputes. Disputes shall be resolved in accordance with section 103D-703, HRS, and chapter 3-126, Hawaii Administrative Rules ("HAR"), as the same may be amended from time to time.
  - 12. Suspension of Contract. The STATE reserves the right at any time and for any reason to suspend this Contract for any reasonable period, upon written notice to the CONTRACTOR in accordance with the provisions herein.
    - a. Order to stop performance. The Agency procurement officer may, by written order to the CONTRACTOR, at any time, and without notice to any surety, require the CONTRACTOR to stop all or any part of the performance called for by this Contract. This order shall be for a specified period not exceeding sixty (60) days after the order is delivered to the CONTRACTOR, unless the parties agree to any further period. Any such order shall be identified specifically as a stop performance order issued pursuant to this section. Stop performance orders shall include, as appropriate: (1) A clear description of the work to be suspended; (2) Instructions as to the issuance of further orders by the CONTRACTOR

for material or services; (3) Guidance as to action to be taken on subcontracts; and (4) Other instructions and suggestions to the CONTRACTOR for minimizing costs. Upon receipt of such an order, the CONTRACTOR shall forthwith comply with its terms and suspend all performance under this Contract at the time stated, provided, however, the CONTRACTOR shall take all reasonable steps to minimize the occurrence of costs allocable to the performance covered by the order during the period of performance stoppage. Before the stop performance order expires, or within any further period to which the parties shall have agreed, the Agency procurement officer shall either:

- (1) Cancel the stop performance order; or
  - (2) Terminate the performance covered by such order as provided in the termination for default provision or the termination for convenience provision of this Contract.
- b. Cancellation or expiration of the order. If a stop performance order issued under this section is cancelled at any time during the period specified in the order, or if the period of the order or any extension thereof expires, the CONTRACTOR shall have the right to resume performance. An appropriate adjustment shall be made in the delivery schedule or contract price, or both, and the Contract shall be modified in writing accordingly, if:
- (1) The stop performance order results in an increase in the time required for, or in the CONTRACTOR'S cost properly allocable to, the performance of any part of this Contract; and
  - (2) The CONTRACTOR asserts a claim for such an adjustment within thirty (30) days after the end of the period of performance stoppage; provided that, if the Agency procurement officer decides that the facts justify such action, any such claim asserted may be received and acted upon at any time prior to final payment under this Contract.
- c. Termination of stopped performance. If a stop performance order is not cancelled and the performance covered by such order is terminated for default or convenience, the reasonable costs resulting from the stop performance order shall be allowable by adjustment or otherwise.
- d. Adjustment of price. Any adjustment in contract price made pursuant to this paragraph shall be determined in accordance with the price adjustment provision of this Contract.

13. Termination for Default.

- a. Default. If the CONTRACTOR refuses or fails to perform any of the provisions of this Contract with such diligence as will ensure its completion within the time specified in this Contract, or any extension thereof, otherwise fails to timely satisfy the Contract provisions, or commits any other substantial breach of this Contract, the Agency procurement officer may notify the CONTRACTOR in writing of the delay or non-performance and if not cured in ten (10) days or any longer time specified in writing by the Agency procurement officer, such officer may terminate the CONTRACTOR'S right to proceed with the Contract or such part of the Contract as to which there has been delay or a failure to properly perform. In the event of termination in whole or in part, the Agency procurement officer may procure similar goods or services in a manner and upon the terms deemed appropriate by the Agency procurement officer. The CONTRACTOR shall continue performance of the Contract to the extent it is not terminated and shall be liable for excess costs incurred in procuring similar goods or services.
- b. CONTRACTOR'S duties. Notwithstanding termination of the Contract and subject to any directions from the Agency procurement officer, the CONTRACTOR shall take timely, reasonable, and necessary action to protect and preserve property in the possession of the CONTRACTOR in which the STATE has an interest.
- c. Compensation. Payment for completed goods and services delivered and accepted by the STATE shall be at the price set forth in the Contract. Payment for the protection and preservation of property shall be in an amount agreed upon by the CONTRACTOR and the Agency procurement officer. If the parties fail to agree, the Agency procurement officer shall set an amount subject to the CONTRACTOR'S rights under chapter 3-126, HAR. The STATE may withhold from amounts due the CONTRACTOR such



sums as the Agency procurement officer deems to be necessary to protect the STATE against loss because of outstanding liens or claims and to reimburse the STATE for the excess costs expected to be incurred by the STATE in procuring similar goods and services.

- d. Excuse for nonperformance or delayed performance. The CONTRACTOR shall not be in default by reason of any failure in performance of this Contract in accordance with its terms, including any failure by the CONTRACTOR to make progress in the prosecution of the performance hereunder which endangers such performance, if the CONTRACTOR has notified the Agency procurement officer within fifteen (15) days after the cause of the delay and the failure arises out of causes such as: acts of God; acts of a public enemy; acts of the State and any other governmental body in its sovereign or contractual capacity; fires; floods; epidemics; quarantine restrictions; strikes or other labor disputes; freight embargoes; or unusually severe weather. If the failure to perform is caused by the failure of a subcontractor to perform or to make progress, and if such failure arises out of causes similar to those set forth above, the CONTRACTOR shall not be deemed to be in default, unless the goods and services to be furnished by the subcontractor were reasonably obtainable from other sources in sufficient time to permit the CONTRACTOR to meet the requirements of the Contract. Upon request of the CONTRACTOR, the Agency procurement officer shall ascertain the facts and extent of such failure, and, if such officer determines that any failure to perform was occasioned by any one or more of the excusable causes, and that, but for the excusable cause, the CONTRACTOR'S progress and performance would have met the terms of the Contract, the delivery schedule shall be revised accordingly, subject to the rights of the STATE under this Contract. As used in this paragraph, the term "subcontractor" means subcontractor at any tier.
- e. Erroneous termination for default. If, after notice of termination of the CONTRACTOR'S right to proceed under this paragraph, it is determined for any reason that the CONTRACTOR was not in default under this paragraph, or that the delay was excusable under the provisions of subparagraph 13d, "Excuse for nonperformance or delayed performance," the rights and obligations of the parties shall be the same as if the notice of termination had been issued pursuant to paragraph 14.
- f. Additional rights and remedies. The rights and remedies provided in this paragraph are in addition to any other rights and remedies provided by law or under this Contract.

14. Termination for Convenience.

- a. Termination. The Agency procurement officer may, when the interests of the STATE so require, terminate this Contract in whole or in part, for the convenience of the STATE. The Agency procurement officer shall give written notice of the termination to the CONTRACTOR specifying the part of the Contract terminated and when termination becomes effective.
- b. CONTRACTOR'S obligations. The CONTRACTOR shall incur no further obligations in connection with the terminated performance and on the date(s) set in the notice of termination the CONTRACTOR will stop performance to the extent specified. The CONTRACTOR shall also terminate outstanding orders and subcontracts as they relate to the terminated performance. The CONTRACTOR shall settle the liabilities and claims arising out of the termination of subcontracts and orders connected with the terminated performance subject to the STATE'S approval. The Agency procurement officer may direct the CONTRACTOR to assign the CONTRACTOR'S right, title, and interest under terminated orders or subcontracts to the STATE. The CONTRACTOR must still complete the performance not terminated by the notice of termination and may incur obligations as necessary to do so.
- c. Right to goods and work product. The Agency procurement officer may require the CONTRACTOR to transfer title and deliver to the STATE in the manner and to the extent directed by the Agency procurement officer:
  - (1) Any completed goods or work product; and
  - (2) The partially completed goods and materials, parts, tools, dies, jigs, fixtures, plans, drawings, information, and contract rights (hereinafter called "manufacturing material") as the

CONTRACTOR has specifically produced or specially acquired for the performance of the terminated part of this Contract.

The CONTRACTOR shall, upon direction of the Agency procurement officer, protect and preserve property in the possession of the CONTRACTOR in which the STATE has an interest. If the Agency procurement officer does not exercise this right, the CONTRACTOR shall use best efforts to sell such goods and manufacturing materials. Use of this paragraph in no way implies that the STATE has breached the Contract by exercise of the termination for convenience provision.

d. Compensation.

- (1) The CONTRACTOR shall submit a termination claim specifying the amounts due because of the termination for convenience together with the cost or pricing data, submitted to the extent required by chapter 3-122, HAR, bearing on such claim. If the CONTRACTOR fails to file a termination claim within one year from the effective date of termination, the Agency procurement officer may pay the CONTRACTOR, if at all, an amount set in accordance with subparagraph 14d(3) below.
- (2) The Agency procurement officer and the CONTRACTOR may agree to a settlement provided the CONTRACTOR has filed a termination claim supported by cost or pricing data submitted as required and that the settlement does not exceed the total Contract price plus settlement costs reduced by payments previously made by the STATE, the proceeds of any sales of goods and manufacturing materials under subparagraph 14c, and the Contract price of the performance not terminated.
- (3) Absent complete agreement under subparagraph 14d(2) the Agency procurement officer shall pay the CONTRACTOR the following amounts, provided payments agreed to under subparagraph 14d(2) shall not duplicate payments under this subparagraph for the following:
  - (A) Contract prices for goods or services accepted under the Contract;
  - (B) Costs incurred in preparing to perform and performing the terminated portion of the performance plus a fair and reasonable profit on such portion of the performance, such profit shall not include anticipatory profit or consequential damages, less amounts paid or to be paid for accepted goods or services; provided, however, that if it appears that the CONTRACTOR would have sustained a loss if the entire Contract would have been completed, no profit shall be allowed or included and the amount of compensation shall be reduced to reflect the anticipated rate of loss;
  - (C) Costs of settling and paying claims arising out of the termination of subcontracts or orders pursuant to subparagraph 14b. These costs must not include costs paid in accordance with subparagraph 14d(3)(B);
  - (D) The reasonable settlement costs of the CONTRACTOR, including accounting, legal, clerical, and other expenses reasonably necessary for the preparation of settlement claims and supporting data with respect to the terminated portion of the Contract and for the termination of subcontracts thereunder, together with reasonable storage, transportation, and other costs incurred in connection with the protection or disposition of property allocable to the terminated portion of this Contract. The total sum to be paid the CONTRACTOR under this subparagraph shall not exceed the total Contract price plus the reasonable settlement costs of the CONTRACTOR reduced by the amount of payments otherwise made, the proceeds of any sales of supplies and manufacturing materials under subparagraph 14d(2), and the contract price of performance not terminated.
- (4) Costs claimed, agreed to, or established under subparagraphs 14d(2) and 14d(3) shall be in accordance with Chapter 3-123 (Cost Principles) of the Procurement Rules.

15. Claims Based on the Agency Procurement Officer's Actions or Omissions.

- a. Changes in scope. If any action or omission on the part of the Agency procurement officer (which term includes the designee of such officer for purposes of this paragraph 15) requiring performance changes within the scope of the Contract constitutes the basis for a claim by the CONTRACTOR for additional compensation, damages, or an extension of time for completion, the CONTRACTOR shall continue with performance of the Contract in compliance with the directions or orders of such officials, but by so doing, the CONTRACTOR shall not be deemed to have prejudiced any claim for additional compensation, damages, or an extension of time for completion; provided:
- (1) Written notice required. The CONTRACTOR shall give written notice to the Agency procurement officer:
    - (A) Prior to the commencement of the performance involved, if at that time the CONTRACTOR knows of the occurrence of such action or omission;
    - (B) Within thirty (30) days after the CONTRACTOR knows of the occurrence of such action or omission, if the CONTRACTOR did not have such knowledge prior to the commencement of the performance; or
    - (C) Within such further time as may be allowed by the Agency procurement officer in writing.
  - (2) Notice content. This notice shall state that the CONTRACTOR regards the act or omission as a reason which may entitle the CONTRACTOR to additional compensation, damages, or an extension of time. The Agency procurement officer, upon receipt of such notice, may rescind such action, remedy such omission, or take such other steps as may be deemed advisable in the discretion of the Agency procurement officer;
  - (3) Basis must be explained. The notice required by subparagraph 15a(1) describes as clearly as practicable at the time the reasons why the CONTRACTOR believes that additional compensation, damages, or an extension of time may be remedies to which the CONTRACTOR is entitled; and
  - (4) Claim must be justified. The CONTRACTOR must maintain and, upon request, make available to the Agency procurement officer within a reasonable time, detailed records to the extent practicable, and other documentation and evidence satisfactory to the STATE, justifying the claimed additional costs or an extension of time in connection with such changes.
- b. CONTRACTOR not excused. Nothing herein contained, however, shall excuse the CONTRACTOR from compliance with any rules or laws precluding any state officers and CONTRACTOR from acting in collusion or bad faith in issuing or performing change orders which are clearly not within the scope of the Contract.
- c. Price adjustment. Any adjustment in the price made pursuant to this paragraph shall be determined in accordance with the price adjustment provision of this Contract.

16. Costs and Expenses. Any reimbursement due the CONTRACTOR for per diem and transportation expenses under this Contract shall be subject to chapter 3-123 (Cost Principles), HAR, and the following guidelines:

- a. Reimbursement for air transportation shall be for actual cost or coach class air fare, whichever is less.
- b. Reimbursement for ground transportation costs shall not exceed the actual cost of renting an intermediate-sized vehicle.
- c. Unless prior written approval of the HOPA is obtained, reimbursement for subsistence allowance (i.e., hotel and meals, etc.) shall not exceed the applicable daily authorized rates for inter-island or out-of-state travel that are set forth in the current Governor's Executive Order authorizing adjustments in salaries and

benefits for state officers and employees in the executive branch who are excluded from collective bargaining coverage.

17. Payment Procedures; Final Payment; Tax Clearance.

- a. Original invoices required. All payments under this Contract shall be made only upon submission by the CONTRACTOR of original invoices specifying the amount due and certifying that services requested under the Contract have been performed by the CONTRACTOR according to the Contract.
- b. Subject to available funds. Such payments are subject to availability of funds and allotment by the Director of Finance in accordance with chapter 37, HRS. Further, all payments shall be made in accordance with and subject to chapter 40, HRS.
- c. Prompt payment.
  - (1) Any money, other than retainage, paid to the CONTRACTOR shall be disbursed to subcontractors within ten (10) days after receipt of the money in accordance with the terms of the subcontract; provided that the subcontractor has met all the terms and conditions of the subcontract and there are no bona fide disputes; and
  - (2) Upon final payment to the CONTRACTOR, full payment to the subcontractor, including retainage, shall be made within ten (10) days after receipt of the money; provided that there are no bona fide disputes over the subcontractor's performance under the subcontract.
- ~~d. Final payment. Final payment under this Contract shall be subject to sections 103-53 and 103D-328, HRS, which require a tax clearance from the Director of Taxation, State of Hawaii, and the Internal Revenue Service, U.S. Department of Treasury, showing that all delinquent taxes, if any, levied or accrued under state law and the Internal Revenue Code of 1986, as amended, against the CONTRACTOR have been paid. Further, in accordance with section 3-122-112, HAR, CONTRACTOR shall provide a certificate affirming that the CONTRACTOR has remained in compliance with all applicable laws as required by this section.~~

18. Federal Funds. If this Contract is payable in whole or in part from federal funds, CONTRACTOR agrees that, as to the portion of the compensation under this Contract to be payable from federal funds, the CONTRACTOR shall be paid only from such funds received from the federal government, and shall not be paid from any other funds. Failure of the STATE to receive anticipated federal funds shall not be considered a breach by the STATE or an excuse for nonperformance by the CONTRACTOR.

19. Modifications of Contract.

- a. In writing. Any modification, alteration, amendment, change, or extension of any term, provision, or condition of this Contract permitted by this Contract shall be made by written amendment to this Contract, signed by the CONTRACTOR and the STATE, provided that change orders shall be made in accordance with paragraph 20 herein.
- b. No oral modification. No oral modification, alteration, amendment, change, or extension of any term, provision, or condition of this Contract shall be permitted.
- c. Agency procurement officer. By written order, at any time, and without notice to any surety, the Agency procurement officer may unilaterally order of the CONTRACTOR:
  - (A) Changes in the work within the scope of the Contract; and
  - (B) Changes in the time of performance of the Contract that do not alter the scope of the Contract work.

- d. Adjustments of price or time for performance. If any modification increases or decreases the CONTRACTOR'S cost of, or the time required for, performance of any part of the work under this Contract, an adjustment shall be made and this Contract modified in writing accordingly. Any adjustment in contract price made pursuant to this clause shall be determined, where applicable, in accordance with the price adjustment clause of this Contract or as negotiated.
  - e. Claim barred after final payment. No claim by the CONTRACTOR for an adjustment hereunder shall be allowed if written modification of the Contract is not made prior to final payment under this Contract.
  - f. Claims not barred. In the absence of a written contract modification, nothing in this clause shall be deemed to restrict the CONTRACTOR'S right to pursue a claim under this Contract or for a breach of contract.
  - g. CPO approval. If this is a professional services contract awarded pursuant to section 103D-303 or 103D-304, HRS, any modification, alteration, amendment, change, or extension of any term, provision, or condition of this Contract which increases the amount payable to the CONTRACTOR by at least \$25,000.00 or ten per cent (10%) of the initial contract price, whichever increase is higher, must receive the prior approval of the CPO.
  - ~~h. Tax clearance. The STATE may, at its discretion, require the CONTRACTOR to submit to the STATE, prior to the STATE'S approval of any modification, alteration, amendment, change, or extension of any term, provision, or condition of this Contract, a tax clearance from the Director of Taxation, State of Hawaii, and the Internal Revenue Service, U.S. Department of Treasury, showing that all delinquent taxes, if any, levied or accrued under state law and the Internal Revenue Code of 1986, as amended, against the CONTRACTOR have been paid.~~
  - i. Sole source contracts. Amendments to sole source contracts that would change the original scope of the Contract may only be made with the approval of the CPO. Annual renewal of a sole source contract for services should not be submitted as an amendment.
20. Change Order. The Agency procurement officer may, by a written order signed only by the STATE, at any time, and without notice to any surety, and subject to all appropriate adjustments, make changes within the general scope of this Contract in any one or more of the following:
- (1) Drawings, designs, or specifications, if the goods or services to be furnished are to be specially provided to the STATE in accordance therewith;
  - (2) Method of delivery; or
  - (3) Place of delivery.
- a. Adjustments of price or time for performance. If any change order increases or decreases the CONTRACTOR'S cost of, or the time required for, performance of any part of the work under this Contract, whether or not changed by the order, an adjustment shall be made and the Contract modified in writing accordingly. Any adjustment in the Contract price made pursuant to this provision shall be determined in accordance with the price adjustment provision of this Contract. Failure of the parties to agree to an adjustment shall not excuse the CONTRACTOR from proceeding with the Contract as changed, provided that the Agency procurement officer promptly and duly makes the provisional adjustments in payment or time for performance as may be reasonable. By proceeding with the work, the CONTRACTOR shall not be deemed to have prejudiced any claim for additional compensation, or any extension of time for completion.
  - b. Time period for claim. Within ten (10) days after receipt of a written change order under subparagraph 20a, unless the period is extended by the Agency procurement officer in writing, the CONTRACTOR shall respond with a claim for an adjustment. The requirement for a timely written response by CONTRACTOR cannot be waived and shall be a condition precedent to the assertion of a claim.

- c. Claim barred after final payment. No claim by the CONTRACTOR for an adjustment hereunder shall be allowed if a written response is not given prior to final payment under this Contract.
  - d. Other claims not barred. In the absence of a change order, nothing in this paragraph 20 shall be deemed to restrict the CONTRACTOR'S right to pursue a claim under the Contract or for breach of contract.
21. Price Adjustment.
- a. Price adjustment. Any adjustment in the contract price pursuant to a provision in this Contract shall be made in one or more of the following ways:
    - (1) By agreement on a fixed price adjustment before commencement of the pertinent performance or as soon thereafter as practicable;
    - (2) By unit prices specified in the Contract or subsequently agreed upon;
    - (3) By the costs attributable to the event or situation covered by the provision, plus appropriate profit or fee, all as specified in the Contract or subsequently agreed upon;
    - (4) In such other manner as the parties may mutually agree; or
    - (5) In the absence of agreement between the parties, by a unilateral determination by the Agency procurement officer of the costs attributable to the event or situation covered by the provision, plus appropriate profit or fee, all as computed by the Agency procurement officer in accordance with generally accepted accounting principles and applicable sections of chapters 3-123 and 3-126, HAR.
  - b. Submission of cost or pricing data. The CONTRACTOR shall provide cost or pricing data for any price adjustments subject to the provisions of chapter 3-122, HAR.
22. Variation in Quantity for Definite Quantity Contracts. Upon the agreement of the STATE and the CONTRACTOR, the quantity of goods or services, or both, if a definite quantity is specified in this Contract, may be increased by a maximum of ten per cent (10%); provided the unit prices will remain the same except for any price adjustments otherwise applicable; and the Agency procurement officer makes a written determination that such an increase will either be more economical than awarding another contract or that it would not be practical to award another contract.
23. Changes in Cost-Reimbursement Contract. If this Contract is a cost-reimbursement contract, the following provisions shall apply:
- a. The Agency procurement officer may at any time by written order, and without notice to the sureties, if any, make changes within the general scope of the Contract in any one or more of the following:
    - (1) Description of performance (Attachment 1);
    - (2) Time of performance (i.e., hours of the day, days of the week, etc.);
    - (3) Place of performance of services;
    - (4) Drawings, designs, or specifications when the supplies to be furnished are to be specially manufactured for the STATE in accordance with the drawings, designs, or specifications;
    - (5) Method of shipment or packing of supplies; or
    - (6) Place of delivery.
  - b. If any change causes an increase or decrease in the estimated cost of, or the time required for performance of, any part of the performance under this Contract, whether or not changed by the order, or

otherwise affects any other terms and conditions of this Contract, the Agency procurement officer shall make an equitable adjustment in the (1) estimated cost, delivery or completion schedule, or both; (2) amount of any fixed fee; and (3) other affected terms and shall modify the Contract accordingly.

- c. The CONTRACTOR must assert the CONTRACTOR'S rights to an adjustment under this provision within thirty (30) days from the day of receipt of the written order. However, if the Agency procurement officer decides that the facts justify it, the Agency procurement officer may receive and act upon a proposal submitted before final payment under the Contract.
- d. Failure to agree to any adjustment shall be a dispute under paragraph 11 of this Contract. However, nothing in this provision shall excuse the CONTRACTOR from proceeding with the Contract as changed.
- e. Notwithstanding the terms and conditions of subparagraphs 23a and 23b, the estimated cost of this Contract and, if this Contract is incrementally funded, the funds allotted for the performance of this Contract, shall not be increased or considered to be increased except by specific written modification of the Contract indicating the new contract estimated cost and, if this contract is incrementally funded, the new amount allotted to the contract.

24. Confidentiality of Material.

- a. All material given to or made available to the CONTRACTOR by virtue of this Contract, which is identified as proprietary or confidential information, will be safeguarded by the CONTRACTOR and shall not be disclosed to any individual or organization without the prior written approval of the STATE.
- b. All information, data, or other material provided by the CONTRACTOR to the STATE shall be subject to the Uniform Information Practices Act, chapter 92F, HRS.

25. Publicity. The CONTRACTOR shall not refer to the STATE, or any office, agency, or officer thereof, or any state employee, including the HOPA, the CPO, the Agency procurement officer, or to the services or goods, or both, provided under this Contract, in any of the CONTRACTOR'S brochures, advertisements, or other publicity of the CONTRACTOR. All media contacts with the CONTRACTOR about the subject matter of this Contract shall be referred to the Agency procurement officer.

~~26. Ownership Rights and Copyright. The STATE shall have complete ownership of all material, both finished and unfinished, which is developed, prepared, assembled, or conceived by the CONTRACTOR pursuant to this Contract, and all such material shall be considered "works made for hire." All such material shall be delivered to the STATE upon expiration or termination of this Contract. The STATE, in its sole discretion, shall have the exclusive right to copyright any product, concept, or material developed, prepared, assembled, or conceived by the CONTRACTOR pursuant to this Contract.~~

27. Liens and Warranties. Goods provided under this Contract shall be provided free of all liens and provided together with all applicable warranties, or with the warranties described in the Contract documents, whichever are greater.

28. Audit of Books and Records of the CONTRACTOR. The STATE may, at reasonable times and places, audit the books and records of the CONTRACTOR, prospective contractor, subcontractor, or prospective subcontractor which are related to:

- a. The cost or pricing data, and
- b. A state contract, including subcontracts, other than a firm fixed-price contract.

29. Cost or Pricing Data. Cost or pricing data must be submitted to the Agency procurement officer and timely certified as accurate for contracts over \$100,000 unless the contract is for a multiple-term or as otherwise specified by the Agency procurement officer. Unless otherwise required by the Agency procurement officer, cost or pricing data submission is not required for contracts awarded pursuant to competitive sealed bid procedures.

If certified cost or pricing data are subsequently found to have been inaccurate, incomplete, or noncurrent as of the date stated in the certificate, the STATE is entitled to an adjustment of the contract price, including profit or fee, to exclude any significant sum by which the price, including profit or fee, was increased because of the defective data. It is presumed that overstated cost or pricing data increased the contract price in the amount of the defect plus related overhead and profit or fee. Therefore, unless there is a clear indication that the defective data was not used or relied upon, the price will be reduced in such amount.

30. Audit of Cost or Pricing Data. When cost or pricing principles are applicable, the STATE may require an audit of cost or pricing data.
31. Records Retention.
  - (1) Upon any termination of this Contract or as otherwise required by applicable law, CONTRACTOR shall, pursuant to chapter 487R, HRS, destroy all copies (paper or electronic form) of personal information received from the STATE.
  - (2) The CONTRACTOR and any subcontractors shall maintain the files, books, and records that relate to the Contract, including any personal information created or received by the CONTRACTOR on behalf of the STATE, and any cost or pricing data, for at least three (3) years after the date of final payment under the Contract. The personal information shall continue to be confidential and shall only be disclosed as permitted or required by law. After the three (3) year, or longer retention period as required by law has ended, the files, books, and records that contain personal information shall be destroyed pursuant to chapter 487R, HRS or returned to the STATE at the request of the STATE.
32. Antitrust Claims. The STATE and the CONTRACTOR recognize that in actual economic practice, overcharges resulting from antitrust violations are in fact usually borne by the purchaser. Therefore, the CONTRACTOR hereby assigns to STATE any and all claims for overcharges as to goods and materials purchased in connection with this Contract, except as to overcharges which result from violations commencing after the price is established under this Contract and which are not passed on to the STATE under an escalation clause.
- ~~33. Patented Articles. The CONTRACTOR shall defend, indemnify, and hold harmless the STATE, and its officers, employees, and agents from and against all liability, loss, damage, cost, and expense, including all attorneys fees, and all claims, suits, and demands arising out of or resulting from any claims, demands, or actions by the patent holder for infringement or other improper or unauthorized use of any patented article, patented process, or patented appliance in connection with this Contract. The CONTRACTOR shall be solely responsible for correcting or curing to the satisfaction of the STATE any such infringement or improper or unauthorized use, including, without limitation: (a) furnishing at no cost to the STATE a substitute article, process, or appliance acceptable to the STATE, (b) paying royalties or other required payments to the patent holder, (c) obtaining proper authorizations or releases from the patent holder, and (d) furnishing such security to or making such arrangements with the patent holder as may be necessary to correct or cure any such infringement or improper or unauthorized use.~~
34. Governing Law. The validity of this Contract and any of its terms or provisions, as well as the rights and duties of the parties to this Contract, shall be governed by the laws of the State of Hawaii. Any action at law or in equity to enforce or interpret the provisions of this Contract shall be brought in a state court of competent jurisdiction in Honolulu, Hawaii.
35. Compliance with Laws. The CONTRACTOR shall comply with all federal, state, and county laws, ordinances, codes, rules, and regulations, as the same may be amended from time to time, that in any way affect the CONTRACTOR'S performance of this Contract.
36. Conflict Between General Conditions and Procurement Rules. In the event of a conflict between the General Conditions and the procurement rules, the procurement rules in effect on the date this Contract became effective shall control and are hereby incorporated by reference.
37. Entire Contract. This Contract sets forth all of the agreements, conditions, understandings, promises, warranties, and representations between the STATE and the CONTRACTOR relative to this Contract. This Contract supersedes all prior agreements, conditions, understandings, promises, warranties, and representations, which



shall have no further force or effect. There are no agreements, conditions, understandings, promises, warranties, or representations, oral or written, express or implied, between the STATE and the CONTRACTOR other than as set forth or as referred to herein.

38. Severability. In the event that any provision of this Contract is declared invalid or unenforceable by a court, such invalidity or unenforceability shall not affect the validity or enforceability of the remaining terms of this Contract.
39. Waiver. The failure of the STATE to insist upon the strict compliance with any term, provision, or condition of this Contract shall not constitute or be deemed to constitute a waiver or relinquishment of the STATE'S right to enforce the same in accordance with this Contract. The fact that the STATE specifically refers to one provision of the procurement rules or one section of the Hawaii Revised Statutes, and does not include other provisions or statutory sections in this Contract shall not constitute a waiver or relinquishment of the STATE'S rights or the CONTRACTOR'S obligations under the procurement rules or statutes.
40. Pollution Control. If during the performance of this Contract, the CONTRACTOR encounters a "release" or a "threatened release" of a reportable quantity of a "hazardous substance," "pollutant," or "contaminant" as those terms are defined in section 128D-1, HRS, the CONTRACTOR shall immediately notify the STATE and all other appropriate state, county, or federal agencies as required by law. The Contractor shall take all necessary actions, including stopping work, to avoid causing, contributing to, or making worse a release of a hazardous substance, pollutant, or contaminant, and shall promptly obey any orders the Environmental Protection Agency or the state Department of Health issues in response to the release. In the event there is an ensuing cease-work period, and the STATE determines that this Contract requires an adjustment of the time for performance, the Contract shall be modified in writing accordingly.
41. Campaign Contributions. The CONTRACTOR is hereby notified of the applicability of 11-205.5, HRS, which states that campaign contributions are prohibited from specified state or county government contractors during the terms of their contracts if the contractors are paid with funds appropriated by a legislative body.

42. Confidentiality of Personal Information.

a. Definitions.

"Personal information" means an individual's first name or first initial and last name in combination with any one or more of the following data elements, when either name or data elements are not encrypted:

- (1) Social security number;
- (2) Driver's license number or Hawaii identification card number; or
- (3) Account number, credit or debit card number, access code, or password that would permit access to an individual's financial information.

Personal information does not include publicly available information that is lawfully made available to the general public from federal, state, or local government records.

"Technological safeguards" means the technology and the policy and procedures for use of the technology to protect and control access to personal information.

b. Confidentiality of Material.

- (1) All material given to or made available to the CONTRACTOR by the STATE by virtue of this Contract which is identified as personal information, shall be safeguarded by the CONTRACTOR and shall not be disclosed without the prior written approval of the STATE.

- (2) CONTRACTOR agrees not to retain, use, or disclose personal information for any purpose other than as permitted or required by this Contract.
- (3) CONTRACTOR agrees to implement appropriate "technological safeguards" that are acceptable to the STATE to reduce the risk of unauthorized access to personal information.
- (4) CONTRACTOR shall report to the STATE in a prompt and complete manner any security breaches involving personal information.
- (5) CONTRACTOR agrees to mitigate, to the extent practicable, any harmful effect that is known to CONTRACTOR because of a use or disclosure of personal information by CONTRACTOR in violation of the requirements of this paragraph.
- (6) CONTRACTOR shall complete and retain a log of all disclosures made of personal information received from the STATE, or personal information created or received by CONTRACTOR on behalf of the STATE.

c. Security Awareness Training and Confidentiality Agreements.

- (1) CONTRACTOR certifies that all of its employees who will have access to the personal information have completed training on security awareness topics relating to protecting personal information.
- (2) CONTRACTOR certifies that confidentiality agreements have been signed by all of its employees who will have access to the personal information acknowledging that:
  - (A) The personal information collected, used, or maintained by the CONTRACTOR will be treated as confidential;
  - (B) Access to the personal information will be allowed only as necessary to perform the Contract; and
  - (C) Use of the personal information will be restricted to uses consistent with the services subject to this Contract.

d. Termination for Cause. In addition to any other remedies provided for by this Contract, if the STATE learns of a material breach by CONTRACTOR of this paragraph by CONTRACTOR, the STATE may at its sole discretion:

- (1) Provide an opportunity for the CONTRACTOR to cure the breach or end the violation; or
- (2) Immediately terminate this Contract.

In either instance, the CONTRACTOR and the STATE shall follow chapter 487N, HRS, with respect to notification of a security breach of personal information.

e. Records Retention.

- (1) Upon any termination of this Contract or as otherwise required by applicable law, CONTRACTOR shall, pursuant to chapter 487R, HRS, destroy all copies (paper or electronic form) of personal information received from the STATE.
- (2) The CONTRACTOR and any subcontractors shall maintain the files, books, and records

that relate to the Contract, including any personal information created or received by the CONTRACTOR on behalf of the STATE, and any cost or pricing data, for at least three (3) years after the date of final payment under the Contract. The personal information shall continue to be confidential and shall only be disclosed as permitted or required by law. After the three (3) year, or longer retention period as required by law has ended, the files, books, and records that contain personal information shall be destroyed pursuant to chapter 487R, HRS or returned to the STATE at the request of the STATE.

## Project Timeline

The CONTRACTOR shall accomplish the following activities by the timeline indicated:

Timeline:	Activity:
NTP	Begin pre-fence installation preparations
QSR No. 1	Submit a list of names and credentials of personnel hired
NTP + 5 Months	Submit draft Watershed Monitoring Plan
NTP + 7 Months	Submit final WMP
NTP + 9 Months	Submit draft report of monitoring plan tailored for implementation in the Hana Forest Reserve
	Submit draft report of monitoring plan tailored for implementation in the Honolua Watershed
NTP + 11 Months	Submit final report of monitoring plan tailored for implementation in the Hana Forest Reserve
	Submit final report of monitoring plan tailored for implementation in the Honolua Watershed
	Begin training Watershed Partnership(s) in East Maui and West Maui for water quality related effectiveness monitoring
	Procure monitoring supplies and equipment
	Begin water quality related effectiveness monitoring in East Maui and West Maui
NTP + 14 Months	Begin installation of one thousand (1,000) meters of ungulate-proof fencing in the upper portion of the Hana Forest Reserve
	Begin installation of one point two (1.2) miles of ungulate-proof fencing in the Honolua Watershed
NTP + 30 Months	Complete fencing installation in East Maui and West Maui
NTP + 36 Months	Complete post-project fence installation water quality related effectiveness monitoring in East Maui and West Maui

NTP + 38 Months

Submit draft Final Report

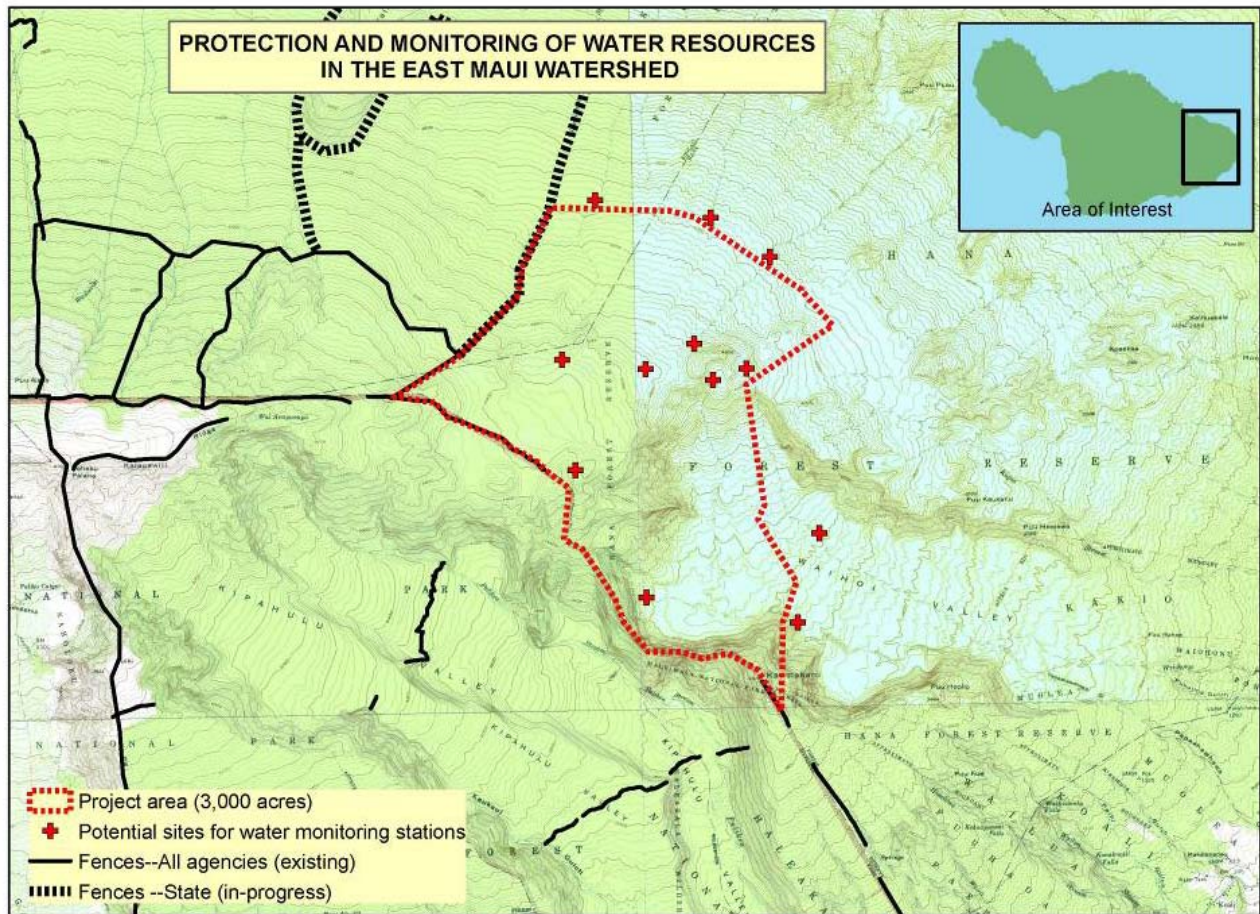
NTP + 40 Months

Submit Final Report

Submit as-built diagram of the fencing project with relevant mapping information for East Maui and West Maui fence projects

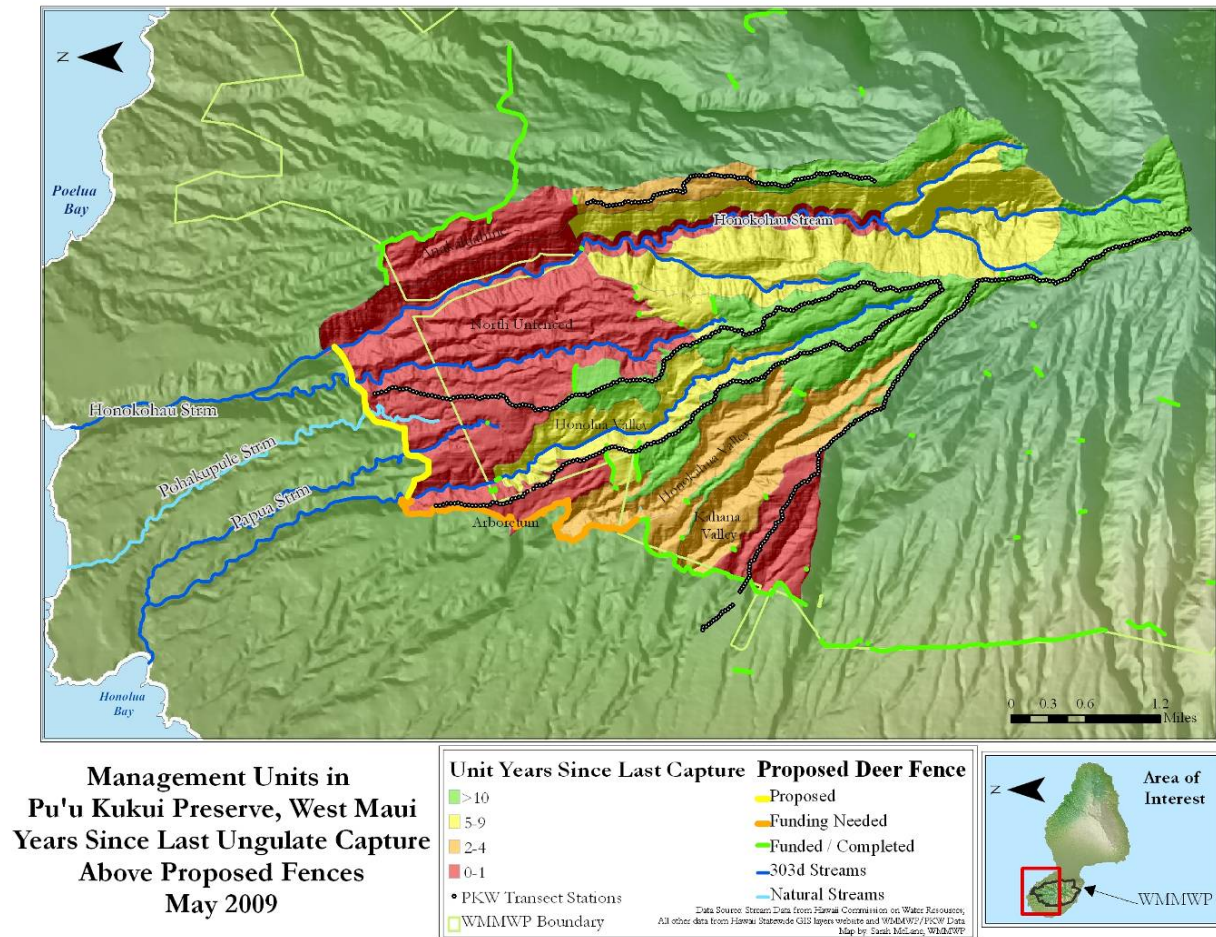
Submit raw data gained from monitoring implementation in East Maui and West Maui  
(Contract Termination)

## East Maui Fencing Map





## West Maui Fencing Map



Department of Health  
Clean Water Branch- Polluted Runoff Control Program

Quarterly Status Reporting Form  
Clean Water Act 319(h) NPS Implementation Program

Quarterly Status Reports are required per contract terms. If no work was done during the reporting period, the CONTRACTOR must provide an explanation of the circumstances.

This Quarterly Status Report is for the period indicated below **(check only one and insert year)**:

- ☐ January 1 – March 31, \_\_\_\_\_ (Due April 15<sup>th</sup>)  
☐ April 1- June 30, \_\_\_\_\_ (Due July 15<sup>th</sup>)  
☐ July 1 – September 30, \_\_\_\_\_ (Due October 15<sup>th</sup>)  
☐ October 1 – December 31, \_\_\_\_\_ (Due January 15<sup>th</sup>)

Project Title: \_\_\_\_\_

Project Start/Completion Date: \_\_\_\_\_

Estimated % of Project Completed: \_\_\_\_\_%

Estimated % of Grant Funds Previously Requested: \_\_\_\_\_%

Quarterly Status Report Number: \_\_\_\_\_

Name, telephone number, and e-mail of person to be contacted for questions regarding this report: \_\_\_\_\_

Please provide the following information for this reporting period. Additional sheets may be attached:

1. Progress/tasks started and/or completed as defined in the Contract's Scope of Services during **current** reporting period.

- A. Summary of work completed (list all tasks and deliverables)

Task/Deliverable	Due Date	Date Task Completed/ Deliverable Submitted

- B. Narrative Progress Report



2. Description of any major issues/problems encountered and/or resolved that may affect the CONTRACTOR's ability to complete the project as required (i.e., weather, personnel, equipment, etc.). If there is a change in the project timeline or budget, provide an explanation, revised timeline, budget, and completion schedule. (Please note that no-cost extensions must be applied for through the Department, and will only be granted when the CONTRACTOR has demonstrated unforeseeable setbacks.)
3. Description of any significant findings, results, or conclusions. If none, please indicate so.
4. Based on the Scope of Services, a description of tasks expected to be completed in the next reporting period.

Line summary of expenditures and in-kind contributions previously requested in comparison with the Contract's project budget page. Summary must be actual cumulative amount for each line item (i.e., personnel services, travel, operating expenses, equipment acquisition, construction materials, other, etc.) current as of this quarterly status report.

#### Grant Funds

No.	Description	Original Contract Amount	Revised Contract Amount After Previous Quarterly Status Reports	Expenditures during this Quarterly Reporting Period	Remaining Contract Amount
A.	Personnel Services	\$	\$	\$	\$
B.	Travel	\$	\$	\$	\$
C.	Operating Expenses	\$	\$	\$	\$
D.	Equipment	\$	\$	\$	\$
E.	Professional Services	\$	\$	\$	\$
F.	Construction Materials and Supplies	\$	\$	\$	\$
G.	Other Misc. Expenses	\$	\$	\$	\$
TOTALS		\$ _____	\$ _____	\$ _____	\$ _____

#### In-Kind Contributions (Matching Funds)

No.	Description	Original Contribution Amounts	Revised Contribution Amounts After Previous Quarterly Status Reports	Contributions reported during this Quarterly Reporting Period	Remaining In-kind Contribution Amounts
A.	Personnel Services	\$	\$	\$	\$
B.	Travel	\$	\$	\$	\$
C.	Operating Expenses	\$	\$	\$	\$
D.	Equipment	\$	\$	\$	\$
E.	Professional Services	\$	\$	\$	\$
F.	Construction Materials and Supplies	\$	\$	\$	\$
G.	Other Misc. Expenses	\$	\$	\$	\$
TOTALS		\$ _____	\$ _____	\$ _____	\$ _____

## FINAL REPORT FORMAT

***Please make sure to include a cover page and to completely bind the document. The title of the project must be printed on the outside spine of the Final Report. Five (5) bound copies and one (1) unbound original are required. Please note that the Final Report provides valuable information to the public, government agencies, and other organizations to gauge the success of the project and the nonpoint pollution source controls implemented. Final Report development should be considered at the beginning and throughout the project. Data, photographs, maps, and other information are collected most efficiently while the project is underway (e.g. before and after photos).***

### **1. TITLE PAGE**

- A. Project Name
- B. ASO Log Number
- C. Grant Amount
- D. Hydrologic Unit Code ("HUC") and Name of Watershed
- E. Contracting Organization (Principal Investigator, Author of Report, Address, Telephone Number)
- F. Date of Report
- G. Project Period (Start Date, End Date, Original End Date, if applicable)

### **2. ACKNOWLEDGMENTS**

- A. Project Personnel (to include all project staff and partners)  
Name  
Agency/Organization  
Role/Contribution to the Project
- B. Note that the project was funded under an EPA Section 319(h) Grant

### **3. TABLE OF CONTENTS** (including list of topics covered and page numbers, figures, tables, photographs, appendices).

### **4. EXECUTIVE SUMMARY** (A brief summary of the overall project, Including project background, purpose, goals, deliverables, and outcomes. Highlight major results or outcomes of the project.)

### **5. INTRODUCTION AND PURPOSE** (Explain the problem and how the project sought to address it. Describe water quality problems, including identification of the pollutants of concern and water quality standards that are violated or threatened. List the goals and objectives as stated in the accepted and contracted proposal or workplan. Note if they changed during the project. Objectives should be specific and concise: e.g. *Reduce sediment coming from X acres of eroding rangeland by X tons/year.*)

6. **PROJECT SITE DESCRIPTION** (Include maps, physical setting e.g. geology, hydrology, morphology, precipitation, soils, topography, drainage patterns, location, size, ownership, land use, history, and summary of significant results from past investigations.)
7. **METHODS** (This section should identify the principle or main approach, remedy or solution that was used to achieve the objectives of the project. Explain methodology, with a description of the project work and all project activities conducted as part of the Contract's Scope of Work. Include the schedule of implementation, planned and actual milestones, products, completion dates, and data collection.)
8. **RESULTS AND ANALYSIS** (Include a detailed evaluation of findings and analyses, relevant tables and graphs showing trends in water quality monitoring, an integration of results from multiple qualitative and quantitative data sources, implications of the results, charts showing reduced pollutant loading values, and "before" and "after" pictures.)
9. **CONCLUSION AND DISCUSSION OF PROJECT SUCCESSES, STRENGTHS, AND CHALLENGES** (Describe the project's achievements in regard to the project's purpose and goals, assess the overall value of the project, who will benefit from the work, how, and why. Provide a conclusion, including how this project reduced NPS pollution, improved water quality, reduced pollutant loads, or increased public awareness. Summarize lessons learned and whether the methodology was effective.)
10. **RECOMMENDATION FOR FUTURE PROJECTS** (This section should describe any programs, activities, and assessments that are or should be planned for the area of concern based on the results of this project. Include consideration of the future implications of the project and how others may build from it.)
11. **REFERENCES AND WORK CITED** (if necessary)
12. **APPENDICES**
  - A. Budget Summary (Mirroring the budget format in the proposal with Final Budget Expenditures/In-Kind Contribution Table)
  - B. List the deliverables submitted for the project and include a copy of all contract deliverables (i.e., brochures, press releases, reports, plans, etc.). Explain differences, enhancements, or deficiencies between the proposed deliverable list and actual deliverables completed. Provide URL for any material available on the Internet.

	A	B	C	D	E	F	G	H
1	Department of Health							
2	Section 319(h) NPS							
3								
4								
5	<b>QUARTERLY GRANT FUND EXPENSE REPORT</b>							
6	<b>(Must be attached to an invoice and be identical to the Project Budget Page in the contract. No invoice will</b>							
7	<b>will be processed for payment without this report to document the invoice.</b>							
8	<b>CONTRACTOR-</b>							
9	<b>Project Name-</b>							
10	<b>Report No. GF-</b>							
11	<b>Month(s)/Year covered in this report-</b>							
12								
13	Summary- Grant Funds							
14								
15	No.	Description	Original Contract	Revised Contract	Amounts Requested	Remaining		
16			Amounts	Amounts From Previous	in this Monthly	Contract Amounts		
17				Monthly Invoices	Invoice			
18								
19	A.	Personnel Services				\$		
20								
21	B.	Travel				\$		
22								
23	C.	Operating Expenses				\$		
24								
25	D.	Equipment				\$		
26								
27	E.	Professional Services				\$		
28								
29	F.	Materials				\$		
30		and Supplies						
31								
32	G.	Other Misc. Expenses				\$		
33								
34	Total		\$	\$	\$	\$		
35								
36								
37	Matching Funds							
38								
39	No.	Description	Original Contribution	Revised Contribution	Contributions Submitted	Remaining		
40			Amounts	Amounts From Previous	in this Monthly	Contribution		
41			Required	Monthly Invoices	Invoice	Amounts		
42								
43	A.	Personnel Services				\$		
44								
45	B.	Travel				\$		
46								
47	C.	Operating Expenses				\$		
48								
49	D.	Equipment				\$		
50								
51	E.	Professional Services				\$		
52								
53	F.	Materials				\$		
54		and Supplies						
55								
56	G.	Other Misc. Expenses				\$		
57								
58	Total		\$	\$	\$	\$		

	A	B	C	D	E	F	G	H
59	Breakdown							
60			Grant Expenses			In-Kind Contributions		
61	No.	Item	No. of Hours/ Trips/Units	Hourly Rate/ Unit Cost	Total Monthly Amount	No. of Hours/ Trips/Units	Hourly Rate/ Unit Cost	Total Monthly Amount
62								
63								
64	A.	Personnel Services		\$	\$		\$	\$
65				\$	\$		\$	\$
66				\$	\$		\$	\$
67				\$	\$		\$	\$
68				\$	\$		\$	\$
69				\$	\$		\$	\$
70				\$	\$		\$	\$
71				\$	\$		\$	\$
72				\$	\$		\$	\$
73		Grand Total for Item A			\$			\$
74								
75	B.	Travel		\$	\$		\$	\$
76				\$	\$		\$	\$
77				\$	\$		\$	\$
78				\$	\$		\$	\$
79				\$	\$		\$	\$
80				\$	\$		\$	\$
81				\$	\$		\$	\$
82		Grand Total for Item B			\$			\$
83			Grant Expenses			In-Kind Contributions		
84	No.	Item	No. of Hours/ Trips/Units	Hourly Rate/ Unit Cost	Total Monthly Amount	No. of Hours/ Trips/Units	Hourly Rate/ Unit Cost	Total Monthly Amount
85								
86	C.	Operating Expenses		\$	\$		\$	\$
87				\$	\$		\$	\$
88				\$	\$		\$	\$
89				\$	\$		\$	\$
90				\$	\$		\$	\$
91				\$	\$		\$	\$
92				\$	\$		\$	\$
93				\$	\$		\$	\$
94				\$	\$		\$	\$
95				\$	\$		\$	\$
96				\$	\$		\$	\$
97				\$	\$		\$	\$
98				\$	\$		\$	\$
99				\$	\$		\$	\$
100				\$	\$		\$	\$
101				\$	\$		\$	\$
102				\$	\$		\$	\$
103		Grand Total for Item C			\$			\$
104	D.	Equipment		\$	\$		\$	\$
105				\$	\$		\$	\$
106				\$	\$		\$	\$
107				\$	\$		\$	\$
108				\$	\$		\$	\$
109				\$	\$		\$	\$
110				\$	\$		\$	\$
111				\$	\$		\$	\$
112				\$	\$		\$	\$
113		Grand Total for Item D			\$			\$

	A	B	C	D	E	F	G	H
114								
115			Grant Expenses			In-Kind Contributions		
116	No.	Item	No. of Hours/ Trips/Units	Hourly Rate/ Unit Cost	Total Monthly Amount	No. of Hours/ Trips/Units	Hourly Rate/ Unit Cost	Total Monthly Amount
117								
118	E.	Professional Services		\$	\$		\$	\$
119				\$	\$		\$	\$
120				\$	\$		\$	\$
121				\$	\$		\$	\$
122				\$	\$		\$	\$
123				\$	\$		\$	\$
124				\$	\$		\$	\$
125				\$	\$		\$	\$
126				\$	\$		\$	\$
127				\$	\$		\$	\$
128				\$	\$		\$	\$
129		Grand Total for Item E			\$			\$
130								
131	F.	Materials/Supplies		\$	\$		\$	\$
132				\$	\$		\$	\$
133				\$	\$		\$	\$
134				\$	\$		\$	\$
135				\$	\$		\$	\$
136				\$	\$		\$	\$
137				\$	\$		\$	\$
138				\$	\$		\$	\$
139				\$	\$		\$	\$
140				\$	\$		\$	\$
141		Grand Total for Item F			\$			\$
142								
143	G.	Other Misc. Expenses		\$	\$		\$	\$
144				\$	\$		\$	\$
145				\$	\$		\$	\$
146				\$	\$		\$	\$
147				\$	\$		\$	\$
148		Grand Total for Item G			\$			\$
149								
150		TOTAL A-G			\$			\$

<b>Budget</b>							
<b>From the STATE's Notice to Proceed for Forty (40) months.</b>							
Department of Health							
Section 319(h) NPS							
No.	Item	Total Number of Units	Hourly Rate/ Unit Cost	Grant Funds from DOH	Match Funds	Match Source	Total
<b>I. Development of Watershed Monitoring Plan</b>							
<b>A. Personnel Services</b>							
	Project Coordinator (HAWP)	640 hrs	\$28.12/hr	\$17,996.80	\$0.00		\$17,996.80
	Project Assistant			\$0.00	\$0.00		\$0.00
	Part-Time Workers			\$0.00	\$0.00		\$0.00
	Volunteers			\$0.00	\$0.00		\$0.00
	Fringe Benefits		26.00%	\$4,679.17	\$0.00		\$4,679.17
	Subtotal for Item A			\$22,675.97	\$0.00		\$22,675.97
<b>B. Travel &amp; Training</b>							
	Airfare (Inter-Island)			\$0.00	\$0.00		\$0.00
	Car Mileage			\$0.00	\$0.00		\$0.00
	Car Rental			\$0.00	\$0.00		\$0.00
	Per Diem			\$0.00	\$0.00		\$0.00
	Subtotal for Item B			\$0.00	\$0.00		\$0.00
<b>C. Operating Expenses</b>							
	Office Supplies	4 mos	\$50.00/mo	\$0.00	\$200.00	DOFAW	\$200.00
	Postage	LS	\$19.35	\$0.00	\$19.35	DOFAW	\$19.35
	Printing	4 mos	\$355.75/mo	\$0.00	\$1,423.00	DOFAW	\$1,423.00
	Mobile Phone	4 mos	\$53.00/mo	\$212.00	\$0.00		\$212.00
	Utilities (Electric \$100/mo; Phone \$30/mo)	4 mos	\$130.00/mo	\$0.00	\$520.00	DOFAW	\$520.00
	Office Rental (\$3600/yr + 30% CAM)	4 mos	\$390.00/mo	\$0.00	\$1,560.00	DOFAW	\$1,560.00
	Subtotal for Item C			\$212.00	\$3,722.35		\$3,934.35
<b>D. Equipment</b>							
	Computer	LS	\$1,700.00	\$0.00	\$1,700.00	DOFAW	\$1,700.00
	Subtotal for Item D			\$0.00	\$1,700.00		\$1,700.00
<b>E. Professional Services</b>							
	Professional Services			\$0.00	\$0.00		\$0.00
	Subtotal for Item E			\$0.00	\$0.00		\$0.00
<b>F. Construction Materials, Supplies</b>							
	Construction Materials, Supplies			\$0.00	\$0.00		\$0.00
	Subtotal for Item F			\$0.00	\$0.00		\$0.00
<b>G. Other Miscellaneous Expenses</b>							
	Indirect Costs		14.40%	\$3,265.34	\$0.00		\$3,265.34
	Subtotal for Item G			\$3,265.34	\$0.00		\$3,265.34
<b>Development of Watershed Monitoring Plan Subtotal</b>				\$26,153.31	\$5,422.35		\$31,575.66
<b>II. Site-Specific Monitoring Plans &amp; Training</b>							
<b>A. Personnel Services</b>							
	Personnel Services			\$0.00	\$0.00		\$0.00
	Subtotal for Item A			\$0.00	\$0.00		\$0.00
<b>B. Travel &amp; Training</b>							
	Airfare (Inter-Island)	4 trips	\$300.00/rt	\$1,200.00	\$0.00		\$1,200.00
	Car Mileage			\$0.00	\$0.00		\$0.00
	Car Rental	12 days	\$40.00/day	\$480.00	\$0.00		\$480.00
	Per Diem	12 days	\$150.00/day	\$1,800.00	\$0.00		\$1,800.00
	Subtotal for Item B			\$3,480.00	\$0.00		\$3,480.00
<b>C. Operating Expenses</b>							
	Operating Expenses			\$0.00	\$0.00		\$0.00
	Subtotal for Item C			\$0.00	\$0.00		\$0.00
<b>D. Equipment</b>							
	Monitoring Equipment	LS	\$5,000.00	\$5,000.00	\$0.00		\$5,000.00
	Subtotal for Item D			\$5,000.00	\$0.00		\$5,000.00
<b>E. Professional Services</b>							
	Water Quality Consultant	1	\$18,765.35	\$18,765.35	\$0.00		\$18,765.35
	Subtotal for Item E			\$18,765.35	\$0.00		\$18,765.35



<b>F. Construction Materials, Supplies</b>							
Construction Materials, Supplies			\$0.00	\$0.00			\$0.00
Subtotal for Item F			\$0.00	\$0.00			\$0.00
<b>G. Other Miscellaneous Expenses</b>							
Parking	12 days	\$10.00/day	\$120.00	\$0.00			\$120.00
Subtotal for Item G			\$120.00	\$0.00			\$120.00
<b>Site-Specific Monitoring Plans &amp; Training Subtotal</b>			\$27,365.35	\$0.00			\$27,365.35
<b>III. East Maui Monitoring Implementation &amp; Ungulate Fencing Installation</b>							
<b>A. Personnel Services</b>							
Project Manager	88 hrs	\$34.85/hr	\$3,066.80	\$6,370.00	DOFAW		\$9,436.80
Program & Data Assistant	88 hrs	\$21.44/hr	\$1,886.72	\$2,017.00	DOFAW		\$3,903.72
Crew Leader	539 hrs	\$22.11/hr	\$11,917.29	\$11,090.00	DOFAW		\$23,007.29
Data & Field Technician	539 hrs	\$20.59/hr	\$11,098.01	\$10,335.00	DOFAW		\$21,433.01
Field Assistant	540 hrs	\$15.34/hr	\$8,283.60	\$7,670.00	DOFAW		\$15,953.60
Field Assistant	540 hrs	\$15.34/hr	\$8,283.60	\$7,670.00	DOFAW		\$15,953.60
Field Assistant	540 hrs	\$15.34/hr	\$8,283.60	\$7,670.00	DOFAW		\$15,953.60
Subtotal Salaries			\$52,819.62	\$52,822.00			\$105,641.62
Fringe		24.00%	\$12,676.71	\$12,678.00	DOFAW		\$25,354.71
Subtotal for Item A			\$65,496.33	\$65,500.00			\$130,996.33
<b>B. Travel &amp; Training</b>							
Airfare (Inter-Island)			\$0.00	\$0.00			\$0.00
Car Mileage			\$0.00	\$0.00			\$0.00
Car Rental			\$0.00	\$0.00			\$0.00
Per Diem (Subsistence in Field)	150 days	\$20.00/day	\$3,000.00	\$3,500.00	DOFAW		\$6,500.00
Subtotal for Item B			\$3,000.00	\$3,500.00			\$6,500.00
<b>C. Operating Expenses</b>							
Office Supplies			\$0.00	\$0.00			\$0.00
Postage			\$0.00	\$0.00			\$0.00
Printing			\$0.00	\$0.00			\$0.00
Utilities			\$0.00	\$0.00			\$0.00
Equipment & Machinery Fuel	3 mos	\$212.00/mo	\$636.00	\$500.00	DOFAW		\$1,136.00
Subtotal for Item C			\$636.00	\$500.00			\$1,136.00
<b>D. Equipment</b>							
Equipment			\$0.00	\$0.00			\$0.00
Subtotal for Item D			\$0.00	\$0.00			\$0.00
<b>E. Professional Services</b>							
Helicopter Services (Windward Aviation)	21.5 hrs	\$850.00/hr	\$18,275.00	\$20,000.00	DOFAW		\$38,275.00
Subtotal for Item E			\$18,275.00	\$20,000.00			\$38,275.00
<b>F. Construction Materials, Supplies</b>							
Fencing Materials (1,000 meters)	1000 mtrs	\$16.00/mtr	\$0.00	\$16,000.00	DOFAW		\$16,000.00
Shelter Materials	LS	\$15,000.00	\$0.00	\$15,000.00	DOFAW		\$15,000.00
Field Gear & Small Tools	LS	\$1,500.00	\$0.00	\$1,500.00	DOFAW		\$1,500.00
Small Equipment Repair & Maintenance	LS	\$5,000.00	\$0.00	\$5,000.00	DOFAW		\$5,000.00
Subtotal for Item F			\$0.00	\$37,500.00			\$37,500.00
<b>G. Other Miscellaneous Expenses</b>							
Indirect Costs		14.40%	\$9,431.47	\$0.00			\$9,431.47
Subtotal for Item G			\$9,431.47	\$0.00			\$9,431.47
<b>East Maui Monitoring Implementation &amp; Ungulate Fencing Installation Subtotal</b>			\$96,838.80	\$127,000.00			\$223,838.80
<b>IV. West Maui Monitoring Implementation &amp; Ungulate Fencing Installation</b>							
<b>A. Personnel Services</b>							
WMMWP Coordinator	95 hrs	\$31.14/hr	\$2,958.30	\$0.00			\$2,958.30
WMMWP Program & Data Assistant	94 hrs	\$21.56/hr	\$2,026.64	\$0.00			\$2,026.64
WMMWP Natural Res GIS/GPS Tech	288 hrs	\$22.82/hr	\$6,572.16	\$0.00			\$6,572.16
WMMWP Field Crew Supervisor	750 hrs	\$22.50/hr	\$16,875.00	\$0.00			\$16,875.00
WMMWP Crew Leader	800 hrs	\$19.13/hr	\$15,304.00	\$0.00			\$15,304.00
WMMWP Field Assistant	800 hrs	\$16.80/hr	\$13,440.00	\$0.00			\$13,440.00
WMMWP Field Assistant	800 hrs	\$15.63/hr	\$12,504.00	\$0.00			\$12,504.00
PKW Manager	75 hrs	\$31.14/hr	\$0.00	\$2,335.50	PKW		\$2,335.50
PKW Crew Leader	600 hrs	\$19.13/hr	\$0.00	\$11,478.00	PKW		\$11,478.00

PKW Field Assistant	600 hrs	\$16.80/hr	\$0.00	\$10,080.00	PKW	\$10,080.00
PKW Field Assistant	600 hrs	\$15.63/hr	\$0.00	\$9,378.00	PKW	\$9,378.00
Subtotal Salaries			\$69,680.10	\$33,271.50		\$102,951.60
WMMWP Fringe		25.00%	\$17,420.03	\$0.00		\$17,420.03
Subtotal for Item A			\$87,100.13	\$33,271.50		\$120,371.63
<b>B. Travel &amp; Training</b>						
Airfare (Inter-Island)			\$0.00	\$0.00		\$0.00
Car Mileage			\$0.00	\$0.00		\$0.00
Car Rental			\$0.00	\$0.00		\$0.00
Per Diem (Subsistence in Field)	120 days	\$20.00/day	\$0.00	\$2,400.00	MKI	\$2,400.00
Subtotal for Item B			\$0.00	\$2,400.00		\$2,400.00
<b>C. Operating Expenses</b>						
WMMWP Office Supplies	4 mos	\$200.00/mo	\$0.00	\$800.00	OED	\$800.00
WMMWP Postage	4 mos	\$50.00/mo	\$0.00	\$200.00	OED	\$200.00
WMMWP Printing	4 mos	\$100.00/mo	\$0.00	\$400.00	OED	\$400.00
WMMWP Utilities	4 mos	\$800.00/mo	\$0.00	\$3,200.00	OED	\$3,200.00
WMMWP Trailer Lease	4 mos	\$1,100.00/mo	\$0.00	\$4,400.00	OED	\$4,400.00
WMMWP Vehicle Gas	4 mos	\$300.00/mo	\$0.00	\$1,200.00	OED	\$1,200.00
WMMWP Vehicle Maintenance	4 mos	\$310.00/mo	\$0.00	\$1,240.00	OED	\$1,240.00
PKW Office Supplies <sup>1</sup>	4 mos	\$50.00/mo	\$0.00	\$200.00	PKW	\$200.00
PKW Postage <sup>1</sup>	4 mos	\$12.00/mo	\$0.00	\$48.00	PKW	\$48.00
PKW Printing <sup>1</sup>	4 mos	\$30.00/mo	\$0.00	\$120.00	PKW	\$120.00
PKW Utilities <sup>1</sup>	4 mos	\$250.00/mo	\$0.00	\$1,000.00	PKW	\$1,000.00
PKW Trailer Lease <sup>1</sup>	4 mos	\$300.00/mo	\$0.00	\$1,200.00	PKW	\$1,200.00
PKW Vehicle Gas <sup>1</sup>	4 mos	\$70.00/mo	\$0.00	\$280.00	PKW	\$280.00
PKW Vehicle Maintenance <sup>1</sup>	4 mos	\$80.00/mo	\$0.00	\$320.00	PKW	\$320.00
Subtotal for Item C			\$0.00	\$14,608.00		\$14,608.00
<sup>1</sup> PKW at 37.00% of WMMWP						
<b>D. Equipment</b>						
Equipment			\$0.00	\$0.00		\$0.00
Subtotal for Item D			\$0.00	\$0.00		\$0.00
<b>E. Professional Services</b>						
Helicopter Charter	10 hrs	\$875.00/hr	\$0.00	\$8,750.00	PKW	\$8,750.00
Subtotal for Item E			\$0.00	\$8,750.00		\$8,750.00
<b>F. Construction Materials, Supplies</b>						
WMMWP Fence Rolls & Pins	LS	\$38,000.00	\$0.00	\$38,000.00	MKI	\$38,000.00
WMMWP Additional Fencing Material	LS	\$11,500.00	\$0.00	\$11,500.00	OED	\$11,500.00
PKW Fence Corners & Materials	LS	\$11,500.00	\$0.00	\$11,500.00	PKW	\$11,500.00
PKW Field Supplies, Tools, Etc.	LS	\$1,000.00	\$0.00	\$1,000.00	PKW	\$1,000.00
WMMWP Field Supplies	LS	\$2,000.00	\$0.00	\$2,000.00	OED	\$2,000.00
Subtotal for Item F			\$0.00	\$64,000.00		\$64,000.00
<b>G. Other Miscellaneous Expenses</b>						
Indirect Costs		14.40%	\$12,542.42	\$0.00		\$12,542.42
Subtotal for Item G			\$12,542.42	\$0.00		\$12,542.42
<b>West Maui Monitoring Implementation &amp; Ungulate Fencing Installation Subtotal</b>			\$99,642.54	\$123,029.50		\$222,672.04
<b>TOTAL</b>			<b>\$250,000.00</b>	<b>\$255,451.85</b>		<b>\$505,451.85</b>
Source of Funds: S-10-201-H-008290-00-450	\$178,530.56					
Source of Funds: S-10-201-H-009290-00-450	\$71,469.44					
Total "Grant Funds" from DOH	\$250,000.00					

## CERTIFICATION REGARDING ENVIRONMENTAL TOBACCO SMOKE

Public Law 103-227, Part C – Environmental Tobacco Smoke, also known as the Pro-Children Act of 1994 (Act), requires that smoking not be permitted in any portion of any indoor facility owned or leased or contracted for by an entity and used routinely or regularly for the provision of health, day care, education, or library services to children under the age of 18, if the services are funded by Federal programs either directly or through State or local governments, by Federal grant, contract, loan or loan guarantee. The law does not apply to children's services provided in private residences, facilities funded solely by Medicare or Medicaid funds, and portions of facilities used for inpatient drug or alcohol treatment. Failure to comply with the provisions of the law may result in the imposition of a civil monetary penalty of up to \$1,000 per day and/or the imposition of an administrative compliance order on the responsible entity.

By signing and submitting this document the applicant/grantee certifies that it will comply with the requirements of the Act. The applicant/grantee further agrees that it will require the language of this certification be included in any subawards which subgrantees shall certify accordingly.

University of Hawaii  
Organization

\_\_\_\_\_  
Authorized Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Title